## NUMERICAL INVESTIGATIONS OF A TORNADO VORTEX USING VORTICITY CONFINEMENT

by

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A thesis submitted in partial fulfillment of the requirements for the degree of

Master of Science (Atmospheric and Oceanic Sciences)

at the

UNIVERSITY OF WISCONSIN MADISON

2007

#### ABSTRACT

The numerical simulation of a realistically strong tornado vortex and its associated condensation funnel has proven to be very difficult to resolve in atmospheric modeling. Many have attributed this failure to insufficient resolution of the models being used. Others have conjectured that the problem lies in the fact that strong gradients are eroded by numerical diffusion, thus prohibiting the formation of strong vortices. This latter hypothesis led engineers Steinhoff and Underhill (1994) to conceive the Vorticity Confinement (VC) technique, in an effort to restore the vorticity gradients lost to diffusion. In this study, the University of Wisconsin Non-Hydrostatic Modeling System (UW-NMS) is used to investigate the aforementioned hypotheses on a three-dimensional extension of the Wicker and Wilhelmson (1995) tornado vortex. These idealized simulations are carried out with two-way interactive nested grids at horizontal resolutions of 24 m and 12 m. Simulations without the VC technique do produce tornado vortices at both resolutions, however they are too weak to form condensation funnels extending to the surface. Comparisons with simulations employing the VC technique show that a realistically strong tornado vortex is resolved at the 24 m resolution, producing a beautiful condensation funnel that descends to the ground. However, when extended to a resolution of 12 m, the VC technique fails to converge to the real solution. At this high resolution, the vortex spins-up at an unrealistic pace, having an extremely large magnitude of vorticity and a very small diameter. It is conjectured that the problem lies in the absence of an explicit energy budget in the VC formulation. Without this budget, there are no physical constraints on the energy added into the system by the confinement term.

An additional experiment is performed to investigate the role of centrifuging rain droplets on tornado vortex intensity. A UW-NMS simulation using the VC technique at 24 m resolution is run without centrifugal force acting on the rain droplets and then compared to the original VC simulation at the same resolution. Results show that the centrifuging of rain droplets has the net effect of intensifying the tornado vortex over time. As droplets are thrown out of the vortex, they exert a drag force on the surrounding air. This effectively removes mass from within the tornado, reducing the inside pressure and therefore increasing the pressure gradient force. Convergence and wind speeds in the vortex are thereby enhanced, stretching the vortex and further increasing the vertical vorticity.

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## **Chapter 1**

### Introduction

The numerical simulation of tornado development must first begin with the successful simulation of the parent thunderstorm from which it forms. The most likely storm to generate tornadic activity is the supercell, characterized by a single, rotating updraft that ascends into the thunderstorm on a tilted path. This path makes it difficult for downdrafts that form within the thunderstorm to interfere with the energy-providing updraft. The end result is a powerful, rotating thunderstorm with an extremely long life-span and the heightened potential for tornado development.

Roughly 30 years ago cloud modelers began making achievements in the numerical simulation of supercell thunderstorms and their observed features (Schlesinger 1978, 1980; Wilhelmson and Klemp 1978, 1981; Klemp and Wilhelmson 1978a, 1978b; Weisman and Klemp 1982, 1984; Tripoli and Cotton 1986). Thus, the groundwork was laid for future experiments involving the formation of a tornado vortex within the mesocyclone of a supercell (Klemp and Rotunno, 1983; Wicker, 1990; Grasso and Cotton, 1995; Wicker and Wilhelmson, 1995). However, these experiments were only partially successful in that they simulated the small-scale features associated with tornadoes, but failed to resolve a vortex strong enough to produce the tornado itself. The modeling community attributed this failure to the insufficient resolution of the models being used. However, recent results from Tripoli et al. (2004) have shown that even at very high resolution, a sufficiently strong tornado vortex will not develop in a numerical model. Steinhoff and Underhill (1994) suggest that the problem lies in the numerical diffusion of the model, which acts to weaken tight gradients

in fluid flow. In an effort to alleviate this problem in the engineering community, they developed a technique that seeks to restore the gradient of vorticity lost to numerical smoothing. Following positive results in many areas of fluid flow modeling, a modified version of this Vorticity Confinement technique was developed specifically for use in atmospheric modeling.

Therefore, it is the goal of this thesis to evaluate the performance of the modified Vorticity Confinement (VC) technique. This research began by first extending the Wicker and Wilhelmson (1995) idealized simulation of a tornadic supercell by performing the experiments at higher resolution. The modified VC technique was then employed on the high-resolution simulations in order to study its effects on the development of the tornado vortex and its condensation funnel.

Following these experiments, further research was performed in order to study the effect that centrifuged rain droplets have on the strength of the tornado vortex. The impetus for this work came from the fact that rain droplets exert a drag force on the surrounding air as they are centrifuged, or thrown out, from inside a vortex. Dragging air with them, the droplets remove mass from within the vortex, leading to a decrease in the central pressure and an overall intensification of the tornado. To test this theory, the centrifugal force acting on rain droplets was neglected in the model.

Background information detailing previous modeling experiments is presented in Chapter 2. The numerical model used in this work, as well as the modified version of the VC technique are both discussed in Chapter 3. Results from the various experiments performed are presented in Chapter 4, with concluding remarks given in Chapter 5.

## **Chapter 2**

### **Background and Literature Review**

### 2.1 Numerical Simulation of Tornadoes

Following the success of large-scale simulations of supercell thunderstorms, Klemp and Rotunno (1983, hereafter referred to as KR83) sought out to simulate the smaller scale features that develop in conjunction with the formation of a tornado within a mature supercell. To perform this experiment, KR83 used a one-way nested model with the innermost grid having a horizontal resolution of 250 meters and a vertical grid spacing of 500 m. The fine grid was centered over the main circulation of the previously simulated 20 May 1977 Del City tornadic supercell (Klemp et al. 1981). The experiment proved to accurately resolve several of the small-scale features observed within tornadic thunderstorms, but failed to produce the tornado itself. However, analysis of their results led to the conclusion that vertical vorticity at low levels is achieved through the tilting of baroclinically produced horizontal vorticity near the intersection of the updraft and the forward flank downdraft. Once this vertical vorticity was achieved by KR83, its circulation quickly intensified as a result of the enhanced convergence at low-levels. In turn, the intense low-level circulation strengthened the rear flank downdraft. The KR83 results thus proved that with sufficiently small grid spacing, numerical models can successfully resolve many small scale features that occur in association with the tornadic phase of the supercell life-cycle.

Wicker's 1990 Ph.D. thesis (hereafter referred to as W90) extended the work of Wilhelmson and Klemp (1981) on the 3 April 1964 supercell through the analysis of finescale features within the storm. The fine mesh in this experiment had a resolution of 70 m in the horizontal with a 50 m vertical resolution at the surface, accomplished by way of a vertically stretched grid. A distinct vortex with a several-minute life span was achieved within the broader circulation of the mesocyclone. The magnitude of maximum vorticity within the tornado vortex reached 0.35 s<sup>-1</sup>. While the work of KR83 and W90 produced encouraging results, they were indeed limited by the computational abilities of the time these experiments were performed. The short time periods over which the fine grids could be integrated meant they could not be initialized well in advance of the vortex genesis, leaving the initial development phase difficult to analyze. Thus in order to accurately resolve the full evolution of a tornado vortex, a sufficiently high resolution simulation must be carried out over a time period that encompasses the pre-tornadic environment.

Wicker and Wilhelmson (1995, hereafter referred to as WW95) thereby extended the work of KR83 and W90 by using a two-way interactive adaptive grid system to simulate tornado development at very high resolution and over much longer time periods. With the ability to initialize the innermost grid 10-15 minutes prior to the maximum in vortex intensity, ample time was given for flow adjustments to be made in response to the increased resolution. The coarse grid used in WW95 had a horizontal resolution of 600 m with vertical resolution of 120 m at the surface, stretching to 700 m at 7.5 km. The fine mesh employed 120 m horizontal grid spacing, while keeping the vertical grid spacing identical to that of the coarse grid. The fine mesh was initialized 70 minutes into the simulation and was integrated forward for 40 minutes. During that time, the development of two distinct tornado vortices

occurred, as determined by a marked decrease in surface pressure. The first tornado vortex reached its peak 87 minutes into the simulation, while the second vortex peaked at 102 minutes. Each development phase lasted approximately 8 to 10 minutes, with ground-relative surface wind speeds surpassing 60 m s<sup>-1</sup>.

The strongest resolved tornado vortex was achieved by Xue (2004), using a terascale system from the Pittsburgh Supercomputing Center. Given the enormous computing power, Xue was able to encompass the entire supercell in a single 50 km by 50 km domain with 25 m horizontal resolution and 20 m vertical resolution at the surface. This is the largest numerical simulation of a tornado that has been performed to date. The experiment resulted in a realistic tornado life cycle with an 80 hPa drop in pressure and wind speeds exceeding 120 m s<sup>-1</sup> (F5 tornado). However, Xue has yet to publish a full documentation of this research, so many of the factors that went into the model are currently unknown.

While there have clearly been large advances in the numerical simulation of tornadoes, resolving a realistically strong vortex that produces a condensation funnel has proven difficult. One suggested reason and potential solution for this problem are discussed in the following section.

## **2.2 Vorticity Confinement Theory**

In all scales of atmospheric flow, there exist fields in which extremely strong gradients or discontinuities can occur. For example, temperature gradients within a frontal zone can tighten to become a first or zeroeth order discontinuity. The tropopause is a first order discontinuity in entropy and a zeroeth order discontinuity in potential vorticity. The interface between the warm, moist updraft and the cold downdraft of a thunderstorm is also a zeroeth order discontinuity and plays a highly important role in storm dynamics and evolution. Even though these locally extreme gradients occur in nature, they are very difficult to resolve in a numerical model using the Eulerian framework. The Eulerian form of the momentum equations gives us the local change of momentum, mass and entropy which are determined using spatial derivatives defined by the model. As gradients collapse to a zeroeth order discontinuity, the accuracy of the numerical solution significantly decreases as these gradients are forced to become more diffuse in order to be resolved by the model. This shortcoming is especially noticeable when attempting to model small scale vortices in the atmosphere, such as tornadoes, where a zeroeth order vorticity gradient occurs along the edge of the vortex. Numerical dissipation of vorticity reduces this gradient, making it impossible for the simulated vortex to realistically intensify.

The problem of unresolved small scale vortices in numerical simulations is not unique to atmospheric sciences. Engineers have long struggled with this issue when attempting to accurately simulate such things as flow separation, vortex shedding and shock propagation in both fluid and air as they flow around obstacles. Seeking to alleviate the problem of unresolved small scale vortices in the numerical simulation of vortex-shedding by aircraft wings, Steinhoff and Underhill (1994, hereafter referred to as SU94) developed the Vorticity Confinement (VC) technique. The driving theory behind this technique comes from the idea that vorticity is confined by the inertial stability of the vortex. As inertial stability builds, it blocks the downward turbulent cascade of vorticity at some scale, thus protecting the vortex from loss of energy to lower scales in the spectrum. Despite great advances in numerical modeling, even the most eloquent numerical schemes employed today are unable to resolve this natural confinement of vorticity. Instead, scales are truncated through numerical smoothing, permitting the loss of energy through the bottom of the spectrum and inhibiting the formation of a strong vortex. Thus the goal of the VC technique is to artificially restore the strong vorticity gradients lost to numerical smoothing at the appropriate grid scale. This is done by preserving the physical structure of both vortex filaments and vortex sheets, or what SU94 calls "the essential features of small scale vortices."

Vorticity confinement is unique in that it is not based on one-dimensional compressible flows, as were previous methods like that of Smolarkiewicz and Margolin (1993). In addition, VC is designed to be rotationally invariant and independent of the basic equations of motion, thus making it a simple addition to present atmospheric models. Over the last decade, the VC technique has been applied to numerous fluid flow problems within the engineering community. The results have been positive as small scale physical structures are preserved in the various flow regimes, creating more realistic simulations. Fan et al. (2002) employed the VC technique for flow over round and square cylinders, as well as flow over a helicopter landing ship. For the cases of flow over the cylinders, VC proved to rapidly and accurately simulate the turbulent wakes that develop behind these objects (Figure 2.1). The simulations of flow across the deck of a helicopter landing ship showed that vortices that develop on the windward side of the ship were far less dissipated and much longer lasting than those produced when not using VC (Figure 2.2).

In recent years, the VC technique has been embraced by the computer graphics community, especially those modeling natural phenomena such as smoke plumes, water eddies, cumulus clouds, and tornadoes. Fedkiw et al. (2001) used VC to more accurately simulate and visualize smoke. It was shown that by using this confining technique, the model was able to resolve the small scale rolling features typically observed in regions of smoke. Miyazaki et al. (2002) employed VC in their simple atmospheric model in order to create convincing animations of the development, advection and dissipation of cumulus and cumulonimbus clouds for use in outdoor scenes. In order to accurately resolve these cumuliform clouds, small scale turbulent vortices must be protected from numerical dissipation. VC was shown to do just that, giving Miyazaki et al. more detailed and realistic cloud images and animations.

Since 2004, Tripoli et al. (2004, 2006) have been working with the VC technique in atmospheric modeling. Upon observing the formation of waterspouts while on a ship in the Tyrrhenian Sea between Italy and Corsica, Tripoli and a few of his colleagues set out to explicitly simulate the event. The University of Wisconsin Non-Hydrostatic Modeling System (UW-NMS) designed by Tripoli (1992, 2007) was employed for these experiments using a two-way interactive grid with very high-resolution capabilities. The finest mesh of the simulation was the eighth grid having a horizontal grid spacing of only 2 m. This grid was centered over a strong vortex that had developed along a shear line in the coarser grids. A weak waterspout was achieved with only a 1-4 hPa drop in pressure at the core of the vortex. A condensation funnel began to form but extended only slightly below the cloud base. The resolution of this simulation, being much higher than previous experiments, proved that simply increasing the model resolution was not enough to resolve realistically strong vortices, as assumed by the tornado modeling community. After employing the VC technique, the waterspout developed into a much stronger vortex with a 35-40 hPa pressure drop at the core and a condensation funnel descending to 400 m below the cloud base. These results were far more realistic, matching up quite well to the waterspout event observed in the Tyrrhenian Sea.

While the results of Tripoli et al. were exciting and promising, the question remained as to whether the methodology behind VC was truly physical or simply a technique better used for special effects in Hollywood. After much study, Tripoli concluded that a more scientifically defensible form of VC could be achieved. As discussed by Lilly (1986), a balanced vortex naturally opposes the erosion due to physical turbulence. Thus the modified VC technique estimates the amount of dissipation of the three-dimensional vorticity gradient by numerical smoothers, only. The vorticity is then restored through an up-gradient source term equal to the estimated loss incurred by the smoothers. This source term, or antidiffusion velocity, differs from the original SU94 formulation in that its magnitude is dependent on the fraction of vorticity in dynamic balance. The derivation of this modified VC term is given in Section 3.1.5.

## **2.3 Centrifuging of Hydrometeors in a Vortex**

Visual and radar observations of waterspouts and tornadoes have shown there to be a hollow structure to these vortices. In an attempt to explain this phenomenon, Kangieser (1954) conducted experiments using a Rankine combined vortex model. Results showed that when foreign particles enter a vortex an inward-directed drag force and an outward-directed centrifugal force are exerted on them. These two forces balance each other at an equilibrium distance from the center of the vortex, as determined by the size and fall speed of the particle. An annulus of particles forms at this equilibrium radius, with larger and denser particles being further from the vortex center and smaller particles being closer. In a steady-state vortex, these particles will travel at a constant velocity, following a circular path around the vortex. Thus a hollow tube is achieved at the vortex core due to the centrifuging, or outward movement, of particles as they seek a balanced state.

In recent decades, advances in radar technology have made it possible to achieve detailed and often up-close observations of tornado structure. Hence, an increasing amount of observations have been noted in the literature regarding the centrifuging of hydrometeors and debris particles by a tornado vortex (Wakimoto and Martner 1992; Bluestein et al. 1993; Wurman et al. 1996; Wurman and Gill 2000; Dowell and Bluestein 2002; Burgess et al. 2002).

Of particular significance is the work of Dowell et al. (2005) in which the behavior of hydrometeors and other foreign debris particles inside a tornado vortex was studied. Part of this research was conducted using idealized one-dimensional and two dimensional numerical simulations of axisymmetric Rankine vortices. The results confirmed those of Kangieser (1954) showing that denser particles with larger fall speeds have slower tangential wind speeds than the air in the vortex and are thus centrifuged outward away from the vortex core. The radial velocity of this outward motion with respect to the air increased with the increasing size and fall speed of the particle. For small rain droplets with fall speeds of 2 m s<sup>-1</sup>, the radial velocities ranged between 3 and 7 m s<sup>-1</sup>, depending on the size and strength of the vortex. This range was found to be 12 to 28 m s<sup>-1</sup> in the case of large raindrops or small hailstones with fall speeds of 10 m s<sup>-1</sup>. It should be noted that the relative air speed of particles that are simultaneously falling and being centrifuged is larger than if they were simply falling through calm air. Thus, particle fall speeds were largely reduced inside the

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simulated vortices, allowing the much larger radial velocities to eject the particles horizontally outward at small angles. Of particular importance to the research discussed in this paper was the finding that the centrifuging of hydrometeors and other particles greatly reduced their number concentration inside the vortex, while raising it outside (Figure 2.3). Experiments to assess the impact of this centrifuging on the strength of the vortex are discussed in Section 4.2.2.



**Figure 2.1:** Isosurface of vorticity for flow over a cylinder (a) without vorticity confinement and (b) with vorticity confinement. From Fan et al. (2002).



**Figure 2.2:** Isosurface of vorticity for flow over the windward side of a helicopter landing ship (a) without vorticity confinement and (b) with vorticity confinement. Windward side corresponds to the bottom of the figures. From Fan et al. (2002).



**Figure 2.3:** Radial profiles every 10 seconds of the number concentration of small raindrops (terminal fall speeds of  $-2 \text{ m s}^{-1}$ ) within a Rankine vortex of radius 100 m and with tangential velocities of 100 m s<sup>-1</sup> at the edge.

## **Chapter 3**

## **Experiment Design**

## **3.1 Numerical Model**

All experiments for this research were conducted using the University of Wisconsin Non-Hydrostatic Modeling System (UW-NMS). The UW-NMS is a three-dimensional, nonhydrostatic model whose enstrophy and kinetic energy conserving design allows for greater accuracy in simulating multi-scale interactions. Some of the key features of this model include modifiable grid size and resolution in both the horizontal and vertical, multiple twoway interactive grid nesting with moveable inner grids, and grid-scale microphysics parameterization with cloud water, rain, pristine crystals, snow, aggregate crystals and graupel. The UW-NMS design is fully described by Tripoli (1992) and Tripoli (2007).

### **3.1.1 Governing Equations**

#### **3.1.1.1 Equations of Motion**

For simplicity, the enstrophy-conserving form of the equations of motion may be given by the following:

$$\frac{\partial u_i}{\partial t} + B_u G_i = I_i + S_i + F_i^1 + F_i^2 - \delta_{i3}g$$
(3.1)

where  $B_u G_i$  are the pressure gradient accelerations,  $I_i$  are the inertial accelerations,  $S_i$  are sources of momentum,  $F_i^1$  represents turbulent mixing tendencies,  $F_i^2$  is the velocity tendency from a numerical filter which controls noise and aliasing in the model,  $\delta_{ij}$  is the Kronecker delta, and g is gravitational acceleration,

The pressure gradient accelerations include a buoyancy coefficient in addition to the pressure gradients. The terms are defined as follows:

$$B_u = \theta_{vv} \tag{3.2}$$

$$G_i = \frac{\partial \pi}{\partial x_i} \tag{3.3}$$

The variable  $\theta_{vv}$  in (3.2) is the water loading virtual potential temperature, and is defined as:

$$\theta_{vv} = \theta \frac{(1+0.61q_v)}{(1+q_{liq}+q_{ice})} = \frac{\theta_v}{(1+q_{liq}+q_{ice})}$$
(3.4)

where  $q_v$ ,  $q_{liq}$ , and  $q_{ice}$  are the specific masses of vapor, liquid and ice, respectively,  $\theta$  is potential temperature and  $\theta_v$  is virtual potential temperature. The variable,  $\pi$ , in (3.3) is the Exner function and is related to pressure, p, by the following equation:

$$\pi = c_p \left(\frac{p}{p_{oo}}\right)^{R/c_p} \tag{3.5}$$

where  $c_p$  is the specific heat of dry air when p is held constant, R is the gas constant for dry air, and  $p_{oo} = 1000$ hPa.

The enstrophy-conserving inertial accelerations are defined as follows:

$$I_i = \varepsilon_{i,j,k} m_j \eta_k - \frac{\partial k}{\partial x_i}$$
(3.6)

where the momentum vector,  $m_i$ , is given by:

$$m_i = \rho_T u_i \cos \phi. \tag{3.7}$$

The total air density,  $\rho_T$ , is defined to be:

$$\rho_T = \rho(1 + q_{liq} + q_{ice}) = (\rho_d + \rho_v)(1 + q_{liq} + q_{ice})$$
(3.8)

where  $\rho$  represents the total density of air and water combined ( $\rho_d + \rho_v$ ).

The three components of absolute vorticity per unit mass,  $\eta_i$ , is defined as:

$$\eta_i = \frac{\varsigma_i + f_i}{\rho_T \cos\phi} \tag{3.9}$$

where  $f_i$  represents the three components of the Coriolis force, and  $\zeta_i$  represents the three components of relative vorticity, given to be:

$$\varsigma_i = \varepsilon_{i,j,k} \, \frac{\partial u_j}{\partial x_i} \tag{3.10}$$

The specific kinetic energy, *k*, is defined as:

$$k = \frac{1}{2}(u_i^2) + \frac{2}{3}e$$
(3.11)

The variable, *e*, represents the turbulent kinetic energy (TKE). The NMS model may be set to either diagnose or explicitly predict TKE. This will be discussed further in the following section.

As seen from the above equations, velocity tendency is made up of balances among inertial, pressure gradient and gravitational forces. As shown by Equation (3.9), all rotational accelerations are linked together into a single vorticity term. The residual inertial acceleration term is depicted as a gradient in kinetic energy, seen in Equation (3.6). It is worth noting that this particular system is only applicable for meso- $\beta$ -scale flows or smaller, where the system is integrated on an *f* plane and curvature may be neglected (Tripoli, 1992).

#### **3.1.2 Physical Parameterizations**

The generic tendency equation used for the highly conservative variables in the model is given by:

$$\frac{\partial A}{\partial t} = I_A + P_A + F_A^1 + F_A^2 + S_A \tag{3.12}$$

where *A* is the conserved scalar variable being predicted,  $I_A$  represents the inertial tendencies of advection,  $P_A$  is the precipitation settling,  $F_A^1$  represents physical turbulence mixing which is based on a physical closure scheme,  $F_A^2$  is a numerical filter and  $S_A$  is a general source term that represents all remaining sources of the variable *A*.

The turbulence closure scheme used for the experiments in this paper is one in which the turbulent kinetic energy is diagnosed, as described by Redelsperger and Someria (1982) for their level one closure. In this scheme, turbulent kinetic energy, e, is assumed to be following a Lagrangian trajectory while in steady state balance. Thus the individual terms that comprise  $S_e$  in Equation (3.12) become such that  $S_e = 0$  locally.

The UW-NMS uses a selective filter in order to control nonlinear instability and numerical noise. The generic form of the equation is given by:

$$F_{A}^{2} = F_{H} \left[ \frac{\partial}{\partial x} \left( \frac{\partial}{\partial x} \right) \right]^{n_{h}/2} A' + F_{H} \left\{ \frac{\partial}{\partial y} \left[ \cos \phi \left( \frac{\partial}{\partial y} \right) \right] \right\}^{n_{h}/2} A' + F_{V} \left\{ \frac{\partial}{\partial z} \left[ \rho_{o} \left( \frac{\partial}{\partial z} \right) \right] \right\}^{n_{v}/2} A', (3.13)$$

where *A* is any variable for which there is a build up of numerically-induced small scale variances, and  $n_h$  and  $n_v$  represent the order of the horizontal and vertical filters, respectively, given in even integers.

Use of the numerical filter often results in the artificial transport of the variable, *A*. In order to minimize this effect, the reference state may be subtracted from the scalar field that

is being smoothed by the filter, much like that described by Klemp and Wilhelmson (1978). The fraction of maximum damping allowed is given by the horizontal and vertical filter coefficients,  $F_H$  and  $F_V$  shown in Equation (3.12). The value of the maximum damping is based on the shortest wave produced by the model. For the experiments discussed in this paper, the horizontal and vertical filter coefficients were set to 0.05 and 0.1, respectively, in the outermost grid. The filter coefficients used in the finest grid were set to 0.1 for both the horizontal and vertical. This increase in the fraction of maximum damping was required due to the very high resolutions of the inner grids, leading to an increase of non-linear instability in the model.

#### 3.1.3 Boundary Conditions

#### **3.1.3.1 Lateral Boundaries**

The lateral boundaries in the idealized experiments employed the Klemp and Wilhelmson gravity wave radiation condition (1978). This condition assumes that all inertial terms are in balance with each other at the grid boundary, thus allowing gravity waves to freely propagate out of the domain. The time tendency of velocity perpendicular to the lateral boundaries of the grid is as follows:

$$\frac{\partial u}{\partial t} = -c^* \frac{\partial (u - u_o)}{\partial x}$$
(3.14)

where o represents the initial state and  $c^*$  is a Doppler-shifted phase speed for gravity waves, written as:

$$c^* = c + u \tag{3.15}$$

where *c* is moving out of the domain. The Doppler-shifted phase speed used in the experiments performed for this research was equal to  $30 \text{ ms}^{-1}$ .

#### **3.1.3.2 Upper and Lower Boundaries**

The upper boundary in these experiments was a wall with a Rayleigh friction zone seven grid points deep. This friction zone was employed in order to absorb gravity waves as they propagated toward the top of the model, avoiding improper reflection of the waves off the upper boundary. The Rayleigh friction condition as defined by Clark (1977) is given by:

$$\left(\frac{\partial \overline{u_i}}{\partial t}\right) = \left(\frac{\partial \overline{u_i}}{\partial t}\right) + \frac{(\overline{u_i} - \overline{u_{i_o}})}{\tau'}.$$
(3.16)

The time scale,  $\tau$ , is given through the following equation:

$$\frac{1}{\tau'} = \max\left[\frac{(1/\tau)(Z - Z_F)}{(Z_{NZ} - Z_F)}, 0\right]$$
(3.17)

where  $Z_F$  represents the height of the bottom of the Rayleigh friction layer, and  $Z_{NZ}$  represents the model top height. For these experiments,  $\tau = 120$ s.

The lower boundary of the model was free-slip and rigid. The surface layer scheme is defined by Louis (1979) and provides specified turbulent fluxes of moisture, momentum and heat. No soil or vegetation model was used.

#### **3.1.4 Finite Differencing**

The governing equations of the UW-NMS model are calculated on an Arakawa Cgrid (Arakawa, 1966). They are finite-differenced using a hybrid time-split, leapfrogforward, space-time scheme much like that described by Klemp and Wilhelmson (1978a) and Tripoli (1992a). Figure 3.1 schematically summarizes how each advection term is finitedifferenced using this hybrid scheme. Since the dynamics equations are set up in an Exner Function system, a smooth separation of the acoustic-containing fluctuations from the slower inertial and gravitational fluctuations can occur. Thus a separate smaller time step can be employed for the integration of the acoustic terms. A leapfrog scheme is applied to the longer time-step of the inertial tendencies for velocity. These terms are finite-differenced in a manner that best conserves enstrophy, kinetic energy and mean vorticity. A Crowley forward scheme is employed for the integration of the scalar terms. This scheme is able to achieve second-order accuracy while using information from only one time level. In addition, the Crowley scheme in its flux-conserving form can be formulated to achieve higher order accuracy.

For the purposes of this paper, in which vorticity confinement is the central focus, special attention must be paid to the inertial momentum tendency ( $I_i$ ). The finite differencing of this particular advection term is done using the Arakawa and Lamb (1981, hereafter referred to as AL81) scheme in which vorticity, kinetic energy, and enstrophy are conserved. The AL81 scheme was modified so as to be used for three-dimensional, compressible flow on grids where spacing may vary, as is the case in the UW-NMS model. The benefit of using the AL81 scheme is that it removes numerical differencing biases that often result in the artificial growth of the two-dimensional potential vorticity, specific kinetic energy and enstrophy. As such, the modified, three-dimensional AL81 scheme can more accurately simulate Ertel potential vorticity, which is simply defined as the dot product of the two-dimensional potential vorticity and the gradient of entropy. Refer to Appendix 1 for the full description of the modified AL81 scheme.

### 3.1.5 Vorticity Confinement Methodology

A series of experiments were conducted using a slightly modified version of the VC scheme originally designed by Steinhoff and Underhill (1994, hereafter referred to as SU94). As discussed in Chapter 2, the overarching aim of this scheme is to oppose the numerical dissipation of vorticity in a balanced vortex by including in the momentum equations an artificial up-gradient vorticity production term.

To derive this term, we first recall the equations of motion as given by Equation (3.1). The term  $B_uG_i$  represents acceleration by the pressure gradient force, while  $I_i$  represents inertial accelerations owing to vorticity and the kinetic energy gradient. From these terms we can define three vectors that represent the pressure-gravity acceleration (*A*), the kinetic energy gradient acceleration (*B*), and the total non-vorticity acceleration (*C*):

$$A = \frac{\partial u_i}{\partial t} \bigg|_{pg,g} = -B_u G_i - \delta_{i3} g$$

$$B = \frac{\partial u_i}{\partial t} \bigg|_{kg} = -\frac{\partial k}{\partial x_i}$$

$$C = \frac{\partial u_i}{\partial t} \bigg|_{pg,g,kg} = A + B$$
(3.18)

We want the vorticity production term to be equal to a certain fraction of the numerical dissipation of vorticity occurring in the model. This particular fraction should be proportional to the amount of inertial vorticity acceleration that is being balanced by the total non-vorticity acceleration, *C*:

$$u_g \times \varsigma_{bal} = C \tag{3.19}$$

where  $\zeta_{bal}$  represents the balanced portion of the vorticity defined as follows:

$$\varsigma = \varsigma_{bal} + \varsigma_{unbal} \tag{3.20}$$

From Equation (3.19) the balanced portion of the vorticity must be normal to both C and  $u_g$ .

Next, we want to find the portion of the vorticity that is both perpendicular to C and falls within the plane of C and  $\varsigma$ . This component is defined as follows:

$$\varsigma_n = \varsigma - (\varsigma \cdot \hat{c})\hat{c} \tag{3.21}$$

where  $\hat{c}$  represents the unit vector pointing in the direction of C:

$$\hat{c} = \frac{C}{|C|} \tag{3.22}$$

The unit vector pointing in the direction of the balanced flow is perpendicular to the plane of *C* and  $\varsigma$  and is defined by the following cross product:

$$\hat{u}_{g} = -\frac{\varsigma_{n}}{|\varsigma_{n}|} \times \hat{c}$$
(3.23)

The actual speed of this balanced flow is defined as being the total non-vorticity acceleration divided by the vorticity component that is normal to the total forcing, as follows:

$$\left|u_{g}\right| = \frac{\left|C\right|}{\left|\varsigma_{n}\right|} \tag{3.24}$$

In order to find how much of the vorticity is actually balanced by the total flow, *u*, we project this flow into the balanced direction, as shown below:

$$\left|u_{proj}\right| = \max(0, \hat{u}_g \cdot u) \tag{3.25}$$

If the ratio of the projected flow to the balanced flow is less than one, then that ratio represents the fraction of vorticity balanced by the flow and therefore the fraction that is protected by confinement. However, if the ratio of the balanced flow to the projected flow is less than one, then the vorticity is stronger than the balanced flow. This fraction should therefore not be protected by confinement. Thus, we reduce the confinement using the following fraction:

$$\alpha_{1} = \max\left[0, \min\left(1, \frac{|u_{proj}|}{|u_{g}|}, \frac{|u_{g}|}{|u_{proj}|}\right)\right]$$
(3.26)

We further limit the confinement of vorticity by considering only the portion of the total acceleration, C, that is being forced by the pressure gradient and gravity, A. We do not consider acceleration owing to the kinetic energy gradient, B, as it is an imaginary force. Hence, VC is reduced by a second fraction determined as follows:

$$\alpha_2 = \max\left[0, \min\left(1, \frac{A \cdot \hat{c}}{\left|\vec{C}\right|}\right)\right]$$
(3.27)

The next step is to find the dissipation acceleration. We start with the linear numerical diffusive flux, given by:

$$\left(\overline{u_i'|\varsigma|}\right)_i = -K_i \frac{\partial|\varsigma|}{\partial x_i}$$
(3.28)

where  $K_i$  is the linear mixing coefficient. It should be noted that physical turbulence is not considered in Equation (3.28), only the numerical diffusion term. This is due to the fact that physical turbulence already confines vorticity through its opposition to the deformation fields. Using the linear numerical diffusive flux and the value of mean vorticity, we can now find an effective diffusion velocity,  $\vec{u}_D$ , as follows:

$$u_{D} = \left(\frac{\overline{u_{i}^{"}|\varsigma|^{"}}}{\left|\overline{\varsigma}\right|}\right)$$
(3.30)

The diffusion velocity is then projected onto  $\hat{c}$  in order to calculate the confinement velocity. This velocity is then reduced by the fractions,  $\alpha_1$  and  $\alpha_2$ , given by Equations (3.26) and (3.27):

$$|s| = \alpha_1 \alpha_2 \max(0, u_D \cdot \hat{c}) \tag{3.31}$$

and

$$s = |s|\hat{c} \tag{3.32}$$

The final step is to add the confinement velocity to the inertial acceleration term in the momentum equations. Thus, Equation (3.6) becomes:

$$I_{i} = \mathcal{E}_{i,j,k} (u_{j} + s_{j})(\varsigma_{k} + f_{k}) - \frac{\partial k}{\partial x_{i}}$$
(3.33)

## **3.2 Model Initialization**

The UW-NMS model was initialized using an idealized thermodynamic profile almost identical to that used in WW95. The horizontal wind profile in the vertical is also modeled after the one used by Wicker and Wilhelmson. This idealized wind profile is based on actual hodographs from tornadic storms in Binger, Oklahoma (Wicker et al. 1984), Raleigh, North Carolina (Davies-Jones et al. 1990) and Davis, Oklahoma (Brown et al. 1973). The environment created by these profiles is conducive to supercell development, with a convective available potential energy value of 4406 J kg<sup>-1</sup> and a bulk Richardson number of 43.7. This bulk Richardson number is slightly outside of the optimal range of 15-35 for a right-moving supercell, as described by Weisman and Klemp (1982). However, the value is within the broader range of 10-50, in which observations have shown the evolution and strengthening of a right-moving supercell are still possible. The thermodynamic profile and wind hodograph from WW95 are shown in Figure 3.2.

The thunderstorm is forced to initiate by imposing a thermal bubble in the center of the outer grid, as done in WW95. The bubble has a horizontal radius of 10 km and a vertical radius of 1.5 km. The center of the bubble is positioned 1.5 km above the surface and has a thermal amplitude of 4 K which linearly decreases to zero as you move away from the center.



**Figure 3.1:** Schematic of numerical time marching scheme employed in NMS model. Square boxes along center line represent discrete points in time where all predicted variables coexist in predicted state, labeled by their discrete time step number " $\pi$ ". Upward and downward arches represent leapfrog marching scheme applied to long time-step of advective terms. Two arches represent two solutions of leapfrog scheme. Velocity terms applied to each arch are depicted within and on side of square box closest to arch. Terms within box from which arch emanates are evaluated forward in time by finite differencing solution vis-à-vis that arch. Terms situated at middle of arch, which include buoyancy and inertial (advective) terms, are evaluated from opposite solution and therefore centered in time across "leap". Arches themselves are subdivided into forward-backward/implicit operators on pressure gradient and entropy-divergence terms. Centerline connecting boxes depicts forward marching scheme applied to scalar quantities shown above arrows. Forward scheme tendencies are calculated serially in time, as given by labeled terms within arrows. (From Tripoli and Smith, 2007).



**Figure 3.2:** (a) Thermodynamic profile of temperature and moisture and (b) hodograph of winds used in initializing model experiments. Thick black line in (a) is the moist adiabat followed by a parcel once it reaches its level of free convection. Medium black line is temperature and dashed line is moisture. Axes in (b) are wind speeds in m s<sup>-1</sup> and heights are given next the profile in km. (From Wicker and Wilhelmson, 1997)

## **Chapter 4**

### **Discussion of Results**

As discussed in Chapter 3, the following experiments were modeled after those performed by Wicker and Wilhelmson (1995). In their study, two grids were used, with the finest grid having 120 m horizontal resolution. The same was done for the experiments in this study, using the UW-NMS model. To extend the WW95 experiments, a third grid with 24 m resolution and a fourth grid with 12 m resolution were also added. Further simulations were then carried out on the finest grids in order to test the Vorticity Confinement (VC) technique as well as to determine what effect the centrifuging of rain droplets has on the vortex strength. The results from all of these experiments are detailed in following sections.

### 4.1 Evolution of Wicker and Wilhelmson Tornado Vortices

Supercell thunderstorms are unique from all other thunderstorms in that they have a cyclonically rotating updraft, or mesocyclone, at low- to mid-levels (0-3 km). This rotation begins along the forward-flank gust front as baroclinically-induced horizontal vorticity. A vertical tilting of this vorticity occurs when it comes in contact with the updraft of the storm. If the rotation of the mesocyclone becomes sufficiently strong, tornadogenesis may ensue. As discussed by WW95, the result of intensifying rotation is a lowering of pressure in the mesocyclone. This subsequently leads to an upward-directed pressure gradient force below the mesocyclone which strengthens the vertical velocity of the updraft below the base of the cloud. Low level convergence is also increased, leading to a stretching of the vertical

vorticity into a rapidly rotating tornado vortex. Further stretching of the vortex is often induced when upward vertical motion increases in the upper levels of the storm, above the vortex. As the upward-directed pressure gradient force eventually begins to deteriorate, so does the updraft. At the same time, the rear-flank downdraft intensifies and is allowed to circulate around the tornado vortex at low levels, severing it from its energy source. At this time the tornado decays and leaves a weak circulation in its wake. The horizontal structure of a mature supercell, as seen from observations and described by Lemon and Doswell (1979), is shown in Figure 4.1. As it pertains to this discussion, a vortex qualifies as a tornado if the following are proven true: (1) it is produced by a thunderstorm or its flanking line, (2) horizontal wind speeds exceed 32 m s<sup>-1</sup>, and (3) there exists a small-scale circulation of strongly converging flow.

As mentioned previously, the UW-NMS is a three-dimensional model with two-way interactive nested grid capabilities. For these experiments, the two coarsest grids were modeled after the WW95 experiments. The outermost grid box has a horizontal grid spacing of 600 m and a domain size of 75 km by 75 km. The vertical grid spacing is 120m at the surface, stretching by a ratio of 1.09 until a resolution of 700 m is achieved (at approximately 7.5 km). Above this point, the vertical grid spacing remains at 700 m. The model top is at approximately 16 km. The model simulation of the outermost grid is 140 minutes, 20 minutes longer than that of WW95. The time step for this simulation is 5 seconds.

The results from the coarse grid were very similar to those of WW95. In both experiments vertical velocities rapidly intensified after 60 minutes in association with the strength of the mesocyclone. The thunderstorm goes through multiple life-cycles, or storm splits, during the simulation with the southernmost (right-moving) supercell eventually becoming the strongest after 70 minutes with a distinct updraft and downdraft. As in WW95, the simulated rain field was also seen to wrap around the mesocyclone forming a clear hook echo signature characteristic of a supercell.

To simulate the evolution of this supercell at higher resolution, a second grid with horizontal grid spacing of 120 m and a domain size of 18 km by 18 km was added. The vertical spacing of this grid was identical to that of the outermost grid. The model was run with the two grids starting at 70 minutes and ending at 140 minutes. The second grid was set to move following the lowest pressure at the surface. In order to minimize numerical instabilities, the time step for the outermost grid was reduced to 2 seconds for this simulation with a time step of 0.4 seconds for the finer grid.

As in the WW95 experiments, more than one tornado developed, as determined by a rapid fall in surface pressure along with an increase in maximum tangential wind speeds and vorticity. The WW95 experiments initially produced several very weak, short-lived tornadoes (or "gustnadoes") that spun up along the edge of the inflow region at low levels. In the last 40 minutes of the simulation, two distinct tornado life cycles were simulated, each lasting approximately 8 to 10 minutes. The first tornado reached its peak intensity 87 minutes into the simulation, while the second tornado peaked at 102 minutes. Similar to WW95, the experiments performed for this study initially produced three weak, short-lived tornadoes (or gustnadoes), followed by two more intense tornadoes each lasting 10 minutes. The first of the more intense tornadoes peaked at 96 minutes while the second peaked at 119 minutes. To compare the longer-lived tornadoes in each experiment, horizontal cross sections are shown at the times of peak intensity for the vertical velocity, vertical vorticity,

perturbation pressure and velocity fields. All cross sections were taken through the fine mesh.

Figure 4.2 shows the horizontal cross sections of vertical velocity at 250 m above the surface for the WW95 tornado at 87 minutes and the corresponding tornado from this study at 96 minutes. In both figures, a clear spiraling of the updraft into the center of rotation is evident. In addition, the rear-flank downdraft in both figures is shown to be wrapping into the center of circulation from the northwest. The peak updraft and downdraft in the WW95 experiment of 12 m s<sup>-1</sup> and 9 m s<sup>-1</sup>, respectively, exceeds those of this study by roughly 2 to 3 m s<sup>-1</sup>.

Figure 4.3 gives the horizontal cross sections of vertical vorticity at 100 m above the surface. Both figures show an arc-shaped vertical vorticity field with bands spiraling inward. This structure forms in response to the vorticity being stretched as flow converges into the updraft of the storm on the western side of the mesocyclone (as seen in Figure 4.2). Convergence into the storm also concentrates the vorticity and increases its local density, as the bands are continually fed into the center of rotation. Peak vorticity in the WW95 experiment is shown to be  $0.2 \text{ s}^{-1}$  which is comparable to that produced by the UW-NMS vortex.

Figure 4.4 shows the horizontal cross sections of perturbation pressure at 100 m above the surface. The enhanced rotation of the vortex leads to a rapid drop in pressure within the mesocyclone. Hence, both figures show two regions of minimum pressure, with the lower of the two being coincident with the mesocyclone of the supercell. The minimum perturbation pressure of -17 hPa in the WW95 experiment is lower than that found in this study by roughly 5 hPa.

Figure 4.5 shows the horizontal cross sections of both the flow and velocity of the horizontal winds 100 m above the surface. The rotation of the vortex is clearly evident in both figures, as is the convergence of flow along the outflow boundary, or gust front. Maximum wind speeds in both figures are located to the west of the center of rotation in the region of northerly flow. The peak wind speed for this study reached 48 m s<sup>-1</sup>, while that of the WW95 experiment was slightly lower at about 46 m s<sup>-1</sup>.

Figures 4.6 through 4.9 are the same as Figures 4.2 through 4.5 except for the second long-lived tornado in each simulation. Once again, for the WW95 experiment, the second tornado peaked at 102 minutes while the tornado simulated by the UW-NMS model peaked at 119 minutes. The structure of the vertical velocity fields in Figure 4.6 correspond fairly well, although a tighter rotation seems evident in the WW95 vortex. The UW-NMS vortex has a slightly stronger updraft of 15 m s<sup>-1</sup> and a weaker downdraft of 6 m s<sup>-1</sup> spiraling into the center of rotation as opposed to the almost 14 m s<sup>-1</sup> updraft and 11 m s<sup>-1</sup> downdraft in the WW95 vortex. Figure 4.7 again shows an arc-shaped, spiraling structure in the vertical vorticity fields of each experiment. However, the orientation of these fields is slightly different in each case. In the WW95 experiment, converging flow along the rear-flank downdraft creates vorticity in a band that extends to the northwest of the mesocyclone. In this experiment, the band extends to the south-southwest of the mesocyclone. The value of maximum vertical vorticity for the WW95 vortex is  $0.25 \text{ s}^{-1}$ , while that of the vortex in this study is only 0.19 s<sup>-1</sup>. As a result, the minimum perturbation pressure of -21 hPa in the WW95 experiment is much lower than the -14 hPa found in this study (Figure 4.8). Lastly, in Figure 4.9 the rotation of the vortex is again clearly seen by the wind vectors and streamlines. The region of maximum wind speed is again found to the west of the vortex in
the northerly flow. The maximum wind speed of 49 m s<sup>-1</sup> in this study surpasses that of the WW95 experiment which gives a velocity of almost 43 m s<sup>-1</sup>.

Both tornadoes simulated by the UW-NMS decayed in a manner similar to those in the WW95 experiments. In both studies, low-level flow speeds up and begins to advect the base of the vortex away from the updraft. At the same time, the rear-flank downdraft continues to wrap around the tornado until it is completely encircled and cut off from the updraft and low-level converging flow.

In summary, the simulation of the Wicker and Wilhelmson tornado vortex using the UW-NMS model achieved very reasonable results. The general evolution of the supercell thunderstorm and its tornadoes was very similar to that described in WW95. In addition, many of the important supercell features and structures were accurately resolved and compared well with the original WW95 experiment. However, some discrepancies between the two experiments were found. First, the evolution of the tornadoes in the WW95 experiment occurred approximately 8 to 10 minutes before those in this study. Secondly, the WW95 tornadoes were slightly more intense than their counterparts in all fields except horizontal wind speed. One possible reason for the difference in intensity is that the WW95 experiments show that rain-wrapping around the tornado vortex was already being resolved on the coarsest (600 m) grid (Figure 4.10a). Although a hook had formed in the rain field, a fully rain-wrapped vortex was not yet observed on the coarsest grid of the UW-NMS simulation (Figure 4.10b). However, rain-wrapping was evident on the second (120 m) simulation. In section 4.3 a detailed discussion is given on how the intensity of a tornado vortex may be increased through the centrifuging of rain-droplets. This process would have a greater effect for a rain-wrapped tornado. The reason for the rain-wrapping discrepancy

likely lies in differing microphysics between the two studies. However, the microphysical set-up was not provided in WW95, so this claim was not investigated.

## 4.1.1 Evolution of a Single Tornado Vortex at High Resolution

Up to this point the tornado vortices that have been resolved have been too weak to produce a strong enough drop in pressure below cloud base to produce a condensation funnel. To test the theory that a realistically strong vortex with an associated condensation funnel can be resolved in a numerical model at sufficiently high resolution, a third grid was added with horizontal spacing of 24 m and a domain size of 9 km by 9km. A nested vertical grid was employed in order to increase the vertical resolution below the cloud base and more accurately resolve the tornado. The vertical grid spacing was therefore 24 m at the surface, stretching to 120 m at approximately 1.5 km. Above 1.5 km the vertical grid spacing is identical to the coarser grids. The three-grid simulation ran from 115 minutes to 125 minutes, encompassing the life cycle of the second long-lived tornado in the coarser simulation. The second and third grids moved with the lowest surface pressure, thereby keeping tornadic activity more or less centered. The time step of the third grid was 0.2 seconds.

The evolution of the tornado vortex in the 24 m resolution simulation occurred in much the same way as in the coarser grids. At 115 minutes, a well-defined main updraft was present along with the downdrafts associated with the rear-flank and forward-flank gust fronts. As time progressed, the updraft and rear-flank downdraft spiraled in toward each other following the cyclonic rotation of the mesocyclone. Convergence of the updraft and

the rear-flank downdraft occurred at 122 minutes and 20 seconds, which was coincident with the 0.95 s<sup>-1</sup> peak in vertical vorticity near the surface (Figure 4.11a,b). The strong rotation in the tornado vortex produced a minimum pressure of -40 hPa and wind speeds of 53 m s<sup>-1</sup> near the surface (Figure 4.11c,d). Figure 4.12 shows the vertical extent of the tornado vortex using the 0.2 s<sup>-1</sup> vertical vorticity isosurface. The main portion of this vortex tube reaches to approximately 3 km, with segments of the tube reaching as high as 12 km. Shortly after this time, the tornado vortex weakened and dissipated as the rear-flank downdraft fully encircled the vortex.

Despite the marked increase in intensity of the vortex at higher resolution, a condensation funnel was not well resolved. Figure 4.13 is a vertical cross section of the log density of cloud water condensate through the tornado vortex with a horizontal cross section of perturbation pressure at the surface. This figure shows a slight lowering of the cloud base coincident with the region of minimum surface pressure. However, the extent of this lowering was only 350 m below the cloud base. This corresponds to a 35 hPa pressure drop within the funnel. Figure 4.14 shows a close-up view of the condensation funnel at its lowest point of descent, along with the -35 hPa isosurface of perturbation pressure. It should be noted here that the lowest values of perturbation pressure were confined to a shallow depth near the surface. This is likely the result of surface friction enhancing convergence at the base of the vortex.

Pushing this experiment further, a fourth grid with horizontal resolution of 12 m and a domain size of 3840 m by 3840 m was added. The vertical grid spacing was nested and identical to that of the third grid. Once again, the simulation was carried out over the 10 minute period between 115 minutes and 125 minutes, encompassing the tornado life cycle.

The three inner grids moved with the lowest surface pressure, keeping the tornado vortex centered in the grid box. The time step used for this experiment was 0.1 seconds.

The tornado vortex in the fourth grid peaked in intensity at 122 minutes and 40 seconds with a vertical vorticity of  $1.15 \text{ s}^{-1}$ . Again this was coincident with the convergence of the updraft and intensifying rear-flank downdraft (Figure 4.15a,b). The spiraling bands of vorticity feeding into the center of rotation are especially noticeable in Figure 4.15b. As previously mentioned, this process concentrates the vorticity field and increases its local density. The resultant drop in pressure at the surface was 40 hPa (Figure 4.15c). The maximum wind speeds at the surface were 54 m s<sup>-1</sup> (Figure 4.15d). Figure 4.16 shows a vertical view of the tornado vortex using the  $0.25 \text{ s}^{-1}$  isosurface of vertical vorticity. The height of this vortex tube reaches 4.5 km. Figure 4.17 shows a vertical cross section of the log density of cloud water condensate taken through the tornado vortex. A noticeable lowering below the cloud base exists, coincident with the region of minimum surface pressure. Once again, this condensation funnel experienced only a slight descent of 300 m. This corresponds to a 30 hPa pressure drop inside the funnel, as shown by Figure 4.18. As in the previous experiment, the lowest pressure within the funnel is found near the surface due to the influence of friction.

To summarize, a third and fourth grid were added to the model with horizontal resolutions of 24 m and 12 m, respectively. The vertical resolution below cloud base was also increased. While the tornado vortices in these high-resolution simulations were markedly stronger than those resolved in the coarser simulations, they were not sufficient in producing a condensation funnel that descended to the surface.

## **4.2 Effects of Vorticity Confinement**

From the previous section it was shown that increasing the resolution of the model, alone, was not sufficient in resolving a realistically strong vortex that produced a condensation funnel. Assuming this is due to numerical diffusion weakening the strong vorticity gradient, experiments were conducted using the VC technique in order to restore this gradient. VC was first introduced on the third grid with 24 m resolution. A reduced time step of 0.14 seconds was required in order for the model to sufficiently handle the faster wind speeds that were produced. All other parameters remained unchanged and the simulation was again run from 115 to 125 minutes.

The tornado initially developed in the same manner as discussed in previous experiments. However with the addition of the VC term, the vortex intensified at a much faster pace, reaching its peak at 121 minutes and 10 seconds. The low-level updraft and downdraft at this peak time both reached a magnitude of 15 m s<sup>-1</sup> as they converged in the center of the mesocyclone (Figure 4.19a). The vertical vorticity reached a maximum of over  $3.0 \text{ s}^{-1}$  (Figure 4.19b), far exceeding that of previous experiments. This highly intensified vortex produced a perturbation pressure near the surface of approximately -150 hPa with horizontal wind speeds topping out at 86 m s<sup>-1</sup> (Figure 4.19c,d). A vertical vorticity. The vortex tube has a higher vertical extent and a lesser overall diameter than those in the previous experiments. This shows that stretching of the vortex tube was enhanced, resulting in its greater intensity.

Of particular interest is the log density of cloud water condensate in this simulation. A lowering of the cloud base began at approximately 118 minutes with a full condensation funnel reaching the ground at 120 minutes and 40 seconds. Figure 4.21 shows the vertical cross section of cloud water condensate taken through the vortex tube at the peak time of the vortex. This figure clearly shows that a condensation funnel was resolved and descended to the surface in association with the much larger drop in pressure within the vortex. With cloud base being approximately 1.25 km above the surface, a 125 hPa pressure drop within the vortex would be needed for the condensation funnel to fully descend to the ground. This was indeed achieved as shown by a close-up view of the condensation funnel with the -125 hPa isosurface of perturbation pressure extending through a large depth of the vortex in Figure 4.22. By 122 minutes the funnel began to lift and had completely disappeared shortly thereafter. The timing of this dissipation was again coincident with the rear-flank downdraft encircling and weakening the vortex.

Following these results, a fourth grid was added in order to test VC at an even higher resolution. The time step used for the fourth grid VC experiment was approximately 0.048 seconds and the fraction of maximum damping was set to 0.2 for both the horizontal and vertical filter coefficients. All other parameters remained the same as in the experiment without VC. The results of this experiment showed that with higher resolution, VC rapidly spun up the vortex to such an intensity that the diameter of the vortex collapsed to a mere 36 m in only 40 seconds. Figures 4.23a and 4.23b show that vertical velocities in the low-level updraft reached 40 m s<sup>-1</sup>, while vertical vorticity exceeded an incredible magnitude of 25 s<sup>-1</sup>. As a result, pressure dropped more than 500 hPa near the surface, leading to maximum wind speeds of 220 m s<sup>-1</sup> around the base of the vortex (Figure 4.23c,d). The 0.5 s<sup>-1</sup> isosurface of

vertical vorticity shown in Figure 4.24 had already reached a height of 3.5 km. The condensation funnel began to descend at 115 minutes and 50 seconds, reaching the surface by 116 minutes and 20 seconds (Figure 4.25). Although a very small time step was employed, it was likely not sufficient for the extreme wind speeds that occurred as a result of the rapid spin-up of the vortex. The nonlinear errors that occurred in the advective scheme forced the simulation to stop at 116 minutes and 30 seconds.

This final experiment suggests that VC does not converge to the real solution as resolution is increased. Instead, the vortex continues to collapse to the grid scale rather than stabilizing at a realistic tornado vortex scale. This problem must be attributed to the fact that the VC technique lacks an energy budget such that energy is added without any constraints on the system. In reality, the energy being used to spin up the vortex should be limited to what is being taken from the mean flow. This is not being done in the current VC formulation. Thus the confinement term produces a substantial change in kinetic energy but with no physical sink of energy somewhere else in the system.

To discuss this point further, it is important to understand how energy is handled in a numerical model. Three main scales of kinetic energy exist: kinetic energy of the mean flow that is being predicted, kinetic energy of the sub-grid scale flow (turbulent kinetic energy), and kinetic energy at the molecular level (thermal energy). There is also gravitational potential energy that we can combine with thermal energy as an approximation of potential temperature. In most numerical schemes, these scales of energy operate independently of each other. Thus the sub-grid scale can be viewed as a reservoir for energy that is lost from a higher scale but not yet transferred into thermal energy. Thus it is implicitly assumed that kinetic energy lost to physical turbulence or numerical dissipation of the resolvable flow ends

up in this reservoir. In reality, there should exist an explicit energy budget in which energy is exchanged between the scales (Figure 4.26). Emanuel (1996) argues that turbulent diffusion is important and should not be neglected in tropical cyclones as it was found to indirectly speed up the process of hurricane intensification by way of a secondary circulation that occurs through the eyewall. In addition, Emanuel was able to determine an upper limit for wind speeds in a mature hurricane by creating a budget in which the energy produced from the secondary circulation was equal to dissipation in the boundary layer. When tested in two different models, this limit proved to be a good predictor of maximum wind speeds.

Emanuel's findings on the importance of turbulent diffusion are of particular interest to this study because it is now hypothesized that the turbulent kinetic energy residing in the reservoir is actually available for the spin-up of a vortex through vortex interaction. As illustrated in Figure 4.26, turbulent kinetic energy should be accounted for by either converting it into thermal energy through molecular dissipation or by recycling it back into kinetic energy, perhaps through VC. The VC technique, as conceived by SU94, operates on the principle that inertial stability blocks the cascade of energy at some level, thus prohibiting the loss of kinetic energy to turbulent kinetic energy at the grid scale. However, this may only be a partial explanation for the vortex growth. Thus adding to the hypothesis of SU94 is the conjecture that turbulent kinetic energy is actually recycled back into kinetic energy through a vortex merger process. This would divert kinetic energy from simply winding up as thermal energy and allow for it to be refocused into strengthening the vortex. In this way, VC would be constrained against the turbulent kinetic energy produced by dissipation of the explicit flow. Moreover, such recycling would release the turbulent kinetic energy diverted to thermal energy. This new theory is currently under investigation.

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In summary, the VC technique was shown to create a realistically intense tornado vortex in the simulation with 24 m resolution. Vertical vorticity more than doubled through the depth of the vortex core. As a result, minimum pressure within the vortex fell at least 100 hPa lower than in the experiments performed without VC. This drop in pressure was more than adequate for the development of a condensation funnel that descended to the surface. However, when attempting to use VC at a higher resolution of 12 m, an unrealistically strong vortex quickly developed. Nonlinear errors resulted in the advective scheme of the model and therefore the simulation could not continue past 116 minutes and 30 seconds. This error suggests that the VC technique does not converge as resolution is increased. The likely cause of this problem is the absence of an explicit energy budget in the VC formulation. New theories are currently being investigated to determine if a tornado vortex can be spun-up through the recycling of energy between scales.

## **4.3 Effects of Centrifuging Rain Droplets**

After achieving positive results with the 24 m VC simulation, tests were performed on this third grid to determine what effect the centrifuging of rain droplets has on the strength of a tornado vortex. To do this, experiments were conducted in which the centrifugal force for rain droplets was turned off to see how the resultant vortex compared with the previously run simulation. The hypothesis is that rain droplets, having no outward-directed centrifugal force acting on them, will remain inside the funnel where a relatively higher central pressure will result. The effect will be to weaken the intensity of the vortex. In beginning this discussion it is important to note that in the absence of rotation, and thus centrifugal force, we need only consider the terminal velocity of the rain droplets in the vertical plane. Given the very small diameter of a rain droplet, as well as a liquid water density 1000 times larger than that of moist air, the pressure gradient force across the droplet becomes negligible relative to the air. As such, gravity is the only force that truly matters when considering the vertical force balance with respect to a rain droplet. Thus, the generic form used for the vertical terminal velocity can be given by:

$$v_T = Ag^b \tag{4.1}$$

where *A* is a function of the microphysics, *g* is gravity, and *b* is typically set to a value of 0.5. In the presence of rotation, and thus centrifugal force, the rain droplet experiences a terminal velocity in all three directions, as shown by the following tendency equation for the specific humidity of rain,  $q_r$ :

$$\frac{\partial q_r}{\partial t} = -\left(\frac{\partial \rho_m q_r (u + v_{Tu})}{\partial x} + \frac{\partial \rho_m q_r (v + v_{Tv})}{\partial y} + \frac{\partial \rho_m q_r (w + v_{Tw})}{\partial z}\right) + S + D \qquad (4.2)$$

where  $v_{Tu}$ ,  $v_{Tv}$  and  $v_{Tv}$  are the three components of terminal velocity,  $\rho_m$  is the density of moist air, *S* represents the source terms and *D* represents the dissipation terms. Therefore, we now must consider a three-dimensional terminal velocity vector. A downward gravitational force is exerted in the vertical and an outward centrifugal force is exerted in the horizontal on both the air parcel and the rain droplet. However, as previously mentioned, the opposing pressure gradient force in both the vertical and horizontal directions is much smaller for the rain droplet in comparison to that of the air parcel. As such, it is actually the threedimensional pressure gradient force exerted on the air parcel and the rain droplet that determines their respective three-dimensional terminal velocities:

$$\vec{v}_T = A \left( -\frac{1}{\rho} \vec{\nabla} p \right)^b \tag{4.3}$$

Given the negligible pressure gradient force across the rain droplet, the opposing, and relatively larger, centrifugal force is allowed to accelerate the rain droplet outward with respect to the air. Thus in a rapidly rotating tornado, rain droplets are centrifuged out of the vortex.

The previously mentioned hypothesis stating that in the absence of rotation rain droplets will act to weaken a tornado vortex can be further explained by recalling the equations discussed in section 3.1.1.1. Through substitution of terms into Equation (3.1), we see that for cyclostrophic balance, the equation of motion for the u-component is as follows:

$$\frac{\partial u}{\partial t} + \theta_{vv} \frac{\partial \pi}{\partial x} = v(\varsigma + f)$$
(4.4)

where the water-loading virtual potential temperature,  $\theta_{\nu\nu}$ , and the Exner function,  $\pi$ , are here again defined as in Equations (3.4) and (3.5), respectively:

$$\theta_{vv} = \theta \frac{(1+0.61q_v)}{(1+q_{liq}+q_{ice})}$$
$$\pi = c_p \left(\frac{p}{p_{oo}}\right)^{R/c_p}$$

Combining  $\theta_{\nu\nu}$  with the gradient of  $\pi$  gives a pressure gradient acceleration term that accounts for buoyancy. It should be noted that the denominator of the water-loading potential temperature equation can also be thought of as a drag term which becomes larger with the increasing mass of liquid water droplets and/or ice crystals. The term on the right-hand side of Equation (4.4) represents acceleration due to centrifugal force. Thus, a balance

is achieved when the inward-directed pressure gradient acceleration equals the outwarddirected centrifugal acceleration.

When rain droplets fall into a balanced vortex, the water-loading virtual potential temperature of the air decreases while the density of the air increases. The result is an increase in the central pressure of the vortex and an overall decrease in the pressure gradient acceleration. In order to bring the vortex back into balance, an adjustment takes place in which the rain droplets are forced out of the vortex by the relatively stronger centrifugal force, as previously discussed. As the rain droplets are being thrown out, they remove mass from inside the vortex due to the drag force that is exerted on the surrounding air. Hence, the central pressure of the vortex decreases, increasing the pressure gradient force and restrengthening the vortex.

Neglecting the centrifugal force exerted on the rain droplets as they enter a vortex means they can no longer be thrown out in the adjustment process. Instead, the rain droplets remain inside the vortex where they fall to the surface as their terminal velocities exceed that of the updraft inside the tornado. As a result, the acceleration by the pressure gradient weakens, forcing the vortex to spin down in order to achieve balance.

Figure 4.27 shows horizontal cross sections of the log density of rain through the mid-point of the vortex (500 m above the surface) at four different times throughout the original 24 m simulation. It is clearly seen that as time progresses from 116 minutes to 121 minutes and 10 seconds, the rain field wraps cyclonically around the vortex but is not allowed to enter inside due to the outward directed centrifugal forces acting on the rain droplets. Figure 4.28, on the other hand, shows that by neglecting the centrifugal force on rain droplets, the rain is allowed to wrap into the vortex. In addition, rain droplets are

allowed to fall into the vortex from above. Figure 4.29a shows that initially, the vortex is clear. Around 117 minutes the rain began to fall into the vortex and by 118 minutes (Figure 4.29b) the leading edge of the descending rain shaft had reached 1 km. A minute later (Figure 4.29c) it is shown to be only 500 m from the surface, with the vortex completely filled by 120 minutes.

Figures 4.30 and 4.31 compare the perturbation pressure near the surface for the original simulation and the simulation without the centrifuging of rain droplets, respectively. These figures show that at the intermediate times, the drop in pressure is approximately 10 hPa lower in the simulation without centrifugal force, pointing to an initially stronger vortex than in the original simulation. However, by 121 minutes the simulation without centrifugal force showed a minimum pressure that was 50 hPa higher than that of the original simulation. The vertical vorticity field in Figures 4.32 and 4.33 confirms that the vorticity was initially  $0.5 \text{ s}^{-1}$  stronger in the simulation without centrifugal force but quickly became weaker by a magnitude exceeding  $1.0 \text{ s}^{-1}$  as rain droplets filled the depth of the vortex. Analysis of the temperature field showed that as the non-centrifuged rain began to wrap into the vortex, the air inside became cooler due to an increase in local evaporation. By 118 minutes, the temperature was approximately 2 K cooler than in the original vortex (Figure 4.34). This drop in temperature within the vortex resulted in a lower pressure and therefore a slightly stronger initial vortex in the experiments without the centrifuging of rain. However, the majority of rain droplets entered the vortex by falling into it from above. The additional mass inside the vortex increased the density of the air, thus leading to an increase in pressure and a weakening of the vortex. This rise in pressure near the surface was exactly coincident with the time the rain shaft reached the surface within the vortex.

With the end result being a weaker vortex in the absence of the centrifuging of rain droplets, the vertical extent of the 0.3 s<sup>-1</sup> vertical vorticity isosurface reached only 4.4 km (Figure 4.35), whereas portions of the vortex reached up to 12 km in the original simulation. In addition, the overall lesser magnitude of minimum pressure within the vortex resulted in a condensation funnel that was 35 m from fully reaching the surface at 121 minutes and 10 seconds (Figure 4.36). The condensation funnel quickly receded and dissipated in the following 20 seconds. Vertical distribution of minimum pressure within the vortex was also confined to a more shallow depth when centrifugal force was neglected. Referring back to Figure 4.22, it is evident that a very low minimum pressure extended through the entire depth of the funnel in the original simulation. This vertical distribution acted to increase the pressure gradient force throughout the funnel, not just near the surface. Thus, convergence and wind speeds increased, promoting further stretching and intensification of the vortex.

In summary, by neglecting the centrifugal force on rain droplets, the simulated tornado vortex eventually became weaker than the vortex that did centrifuge rain. Thus it was shown that the centrifuging of hydrometeors, such as rain droplets, has the net effect of intensifying a tornado vortex. As the hydrometeors are thrown out of the vortex, they exert a drag force on the surrounding air, removing mass and reducing the pressure within the vortex. The result is an increase in the pressure gradient force through a greater depth of the funnel. Wind speeds and convergence are thus enhanced, stretching the vortex and increasing the vertical vorticity.



**Figure 4.1:** Horizontal cross section of a tornadic supercell thunderstorm at the surface. Thick black line represents the precipitation field as seen on radar. The solid lines with frontal symbols represent the thunderstorm gust fronts and occlusion. The surface position of the updrafts (UD) are finely stippled while the forward-flank downdraft (FFD) and rear-flank downdraft (RFD) are coarsely stippled. Streamlines relative to the ground are also shown. Location of tornado is denoted by the small encircles T in between the RFD and main UD. (From Lemon and Doswell, 1979).



**Figure 4.2:** Horizontal cross sections of vertical velocity at z = 250 m. (a) From Wicker and Wilhelmson (1995) 120 m simulation at 87 minutes. Contour interval is 3 m s<sup>-1</sup>. Maximum and minimum values shown at bottom right. (b) UW-NMS 120 m simulation at 96 minutes. Contour interval is 3 m s<sup>-1</sup>, neglecting the zero line. Solid lines represent positive values and dashed lines represent negative values. Colors also give values of vertical velocity with reds being positive and blues being negative.

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**Figure 4.3:** Horizontal cross sections of vertical vorticity at z = 100 m. (a) From Wicker and Wilhelmson (1995) 120 m simulation at 87 minutes. Contour intervals are 0.01 s<sup>-1</sup>, 0.05 s<sup>-1</sup>, 0.1 s<sup>-1</sup>, 0.2 s<sup>-1</sup>, and 0.3 s<sup>-1</sup>. Thick dark line is the 0.01 s<sup>-1</sup> contour representing the low-level mesocyclone. Maximum and minimum values shown at bottom right. (b) UW-NMS 120 m simulation at 96 minutes. Contour interval is 0.04 x 10<sup>5</sup> s<sup>-1</sup> starting at 0.01 x 10<sup>5</sup> s<sup>-1</sup>. Colors also give values of vertical vorticity with reds being positive and blues being negative.



**Figure 4.4:** Horizontal cross sections of perturbation pressure at z = 100 m. (a) From Wicker and Wilhelmson (1995) 120 m simulation at 87 minutes. Contour interval is 3 hPa. Maximum and minimum values shown at bottom right. (b) UW-NMS 120 m simulation at 96 minutes. Contour interval is 300 Pa (3 hPa), including zero line. Solid lines represent positive values and dashed represent negative values. Colors also give values of perturbation pressure with reds being positive and blues being negative.



**Figure 4.5:** Horizontal cross sections of horizontal winds at z = 100 m. (a) Wind vectors from Wicker and Wilhelmson (1995) 120 m simulation at 87 minutes. Maximum wind vector magnitude shown at bottom right. (b) Streamlines and wind speeds (in m s<sup>-1</sup>) from UW-NMS 120 m simulation at 96 minutes. Reds represent faster wind speeds and blues represent slower wind speeds.



**Figure 4.6:** Horizontal cross sections of vertical velocity at z = 250 m. (a) From Wicker and Wilhelmson (1995) 120 m simulation at 102 minutes. Contour interval is 3 m s<sup>-1</sup>. Maximum and minimum values shown at bottom right. (b) UW-NMS 120 m simulation at 119 minutes. Contour interval is 3 m s<sup>-1</sup>, neglecting the zero line. Solid lines represent positive values and dashed lines represent negative values. Colors also give values of vertical velocity with reds being positive and blues being negative.



**Figure 4.7:** Horizontal cross sections of vertical vorticity at z = 100 m. (a) From Wicker and Wilhelmson (1995) 120 m simulation at 102 minutes. Contour intervals are 0.01 s<sup>-1</sup>, 0.05 s<sup>-1</sup>, 0.1 s<sup>-1</sup>, 0.2 s<sup>-1</sup>, and 0.3 s<sup>-1</sup>. Thick dark line is the 0.01 s<sup>-1</sup> contour representing the low-level mesocyclone. Maximum and minimum values shown at bottom right. (b) UW-NMS 120 m simulation at 119 minutes. Contour interval is 0.04 x 10<sup>5</sup> s<sup>-1</sup> starting at 0.01 x 10<sup>5</sup> s<sup>-1</sup>. Colors also give values of vertical vorticity with reds being positive and blues being negative.



**Figure 4.8:** Horizontal cross sections of perturbation pressure at z = 100 m. (a) From Wicker and Wilhelmson (1995) 120 m simulation at 102 minutes. Contour interval is 3 hPa. Maximum and minimum values shown at bottom right. (b) UW-NMS 120 m simulation at 119 minutes. Contour interval is 300 Pa (3 hPa), including zero line. Solid lines represent positive values and dashed represent negative values. Colors also give values of perturbation pressure with reds being positive and blues being negative.

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**Figure 4.9:** Horizontal cross sections of horizontal winds at z = 100 m. (a) Wind vectors from Wicker and Wilhelmson (1995) 120 m simulation at 102 minutes. Maximum wind vector magnitude shown at bottom right. (b) Streamlines and wind speeds (in m s<sup>-1</sup>) from UW-NMS 120 m simulation at 119 minutes. Reds represent faster wind speeds and blues represent slower wind speeds.



**Figure 4.10:** Horizontal cross-sections of coarse (600 m) grid for (a) the Wicker and Wilhelmson (1995) experiment at 90 minutes and (b) the UW-NMS experiment at 98 minutes. In both figures, the heavy solid line represents the 0.25 g kg<sup>-1</sup> rainwater mixing ratio at 60m. The black dot in (a) indicates the region of maximum vertical vorticity, which peaks at 0.06 s<sup>-1</sup>. Vertical vorticity in (b) is given by the colored surface at z = 60m, where reds are positive vorticity and blues are negative vorticity. Maximum vertical vorticity in (b) is just under 0.05 x 10<sup>5</sup> s<sup>-1</sup>.



**Figure 4.11:** (a) Horizontal cross section (4 km x 4 km) of vertical velocity at z = 250 m, from 24 m simulation at 122 min 20 s. Contour interval is 5 m s<sup>-1</sup>, omitting the zero line. Solid lines represent positive values and dashed lines represent negative values. Colors also give values of vertical velocity with reds being positive and blues being negative. (b) Horizontal cross section (4 km x 4 km) of vertical vorticity at z = 100 m, from 24 m simulation at 122 min 20 s. Contour interval is 0.1 x 10<sup>5</sup> s<sup>-1</sup> starting at 0.05 x 10<sup>5</sup> s<sup>-1</sup>. Colors also give values of vertical vorticity with reds being positive and blues being negative.



**Figure 4.11:** (Continued) (c) Horizontal cross section (4 km x 4 km) of perturbation pressure at z = 100 m, from 24 m simulation at 122 min 20 s. Contour interval is 300 Pa (3 hPa), including zero line. Solid lines represent positive values and dashed lines represent negative values. Colors also give values of perturbation pressure with reds being positive and blues being negative. (d) Horizontal cross section (4 km x 4 km) of horizontal wind speeds (in m s<sup>-1</sup>) and streamlines at z = 100 m, from 24 m simulation at 122 min 20 s. Reds represent faster wind speeds, blues represent slower wind speeds.



**Figure 4.12:** Vertical view of  $0.2 \text{ s}^{-1}$  vertical vorticity isosurface with colored horizontal cross section of perturbation pressure (Pa) and horizontal streamlines at the surface. From 24 m simulation at 122 min 20 s.



**Figure 4.13:** Vertical cross section of the log density of cloud water condensate (gray contours) with colored horizontal cross section of perturbation pressure (Pa) at the surface. From 24 m simulation at 122 min 20 s. Contour interval in the cloud is 0.5.



**Figure 4.14:** Close-up vertical cross section of the log density of cloud water condensate with -3500 Pa (-35 hPa) perturbation pressure isosurface (blue). Colored surface is horizontal cross section of perturbation pressure (Pa). From 24 m simulation at 122 min 30 s.



**Figure 4.15:** (a) Horizontal cross section of vertical velocity at z = 250 m, from 12 m simulation at 122 min 40 s. Contour interval is 5 m s<sup>-1</sup>, omitting the zero line. Solid lines represent positive values and dashed lines represent negative values. Colors also give values of vertical velocity with reds being positive and blues being negative. (b) Horizontal cross section of vertical vorticity at z = 100 m, from 12 m simulation at 122 min 40 s. Contour interval is 0.1 x 10<sup>5</sup> s<sup>-1</sup> starting at 0.05 x 10<sup>5</sup> s<sup>-1</sup>. Colors also give values of vertical vorticity with reds being positive and blues being negative.



**Figure 4.15:** (Continued) (c) Horizontal cross section of perturbation pressure at z = 100 m, from 12 m simulation at 122 min 40 s. Contour interval is 300 Pa (3 hPa), including zero line. Solid lines represent positive values and dashed lines represent negative values. Colors also give values of perturbation pressure with reds being positive and blues being negative. (d) Horizontal cross section of horizontal wind speeds (in m s<sup>-1</sup>) and streamlines at z = 100 m, from 12 m simulation at 122 min 40 s. Reds represent faster wind speeds, blues represent slower wind speeds.





**Figure 4.16:** Vertical view of  $0.25 \text{ s}^{-1}$  vertical vorticity isosurface with colored horizontal cross section of perturbation pressure (Pa) and horizontal streamlines at the surface. From 12 m simulation at 122 min 40 s.



**Figure 4.17:** Vertical cross section of the log density of cloud water condensate (gray contours) with colored horizontal cross section of perturbation pressure at the surface (Pa). From 12 m simulation at 122 min 40 s. Contour interval in the cloud is 0.5.



**Figure 4.18:** Close-up vertical cross-section of the log density of cloud water condensate with -3000 Pa (-30 hPa) perturbation pressure isosurface (blue). Colored surface is horizontal cross section of perturbation pressure (Pa). From 12 m simulation at 122 min 40 s.



**Figure 4.19:** (a) Horizontal cross section (2 km x 2 km) of vertical velocity at z = 250 m, from 24 m VC simulation at 121 min 10 s. Contour interval is 5 m s<sup>-1</sup>, omitting the zero line. Solid lines represent positive values and dashed lines represent negative values. Colors also give values of vertical velocity with reds being positive and blues being negative. (b) Horizontal cross section (2 km x 2 km) of vertical vorticity at z = 100 m, from 24 m VC simulation at 121 min 10 s. Contour interval is  $0.1 \times 10^5$  s<sup>-1</sup> starting at 0.05 x  $10^5$  s<sup>-1</sup>. Colors also give values of vertical vorticity with reds being positive and blues being negative.



**Figure 4.19:** (Continued) (c) Horizontal cross section (2 km x 2 km) of perturbation pressure at z = 100 m, from 24 m VC simulation at 121 min 10 s. Contour interval is 1000 Pa (10 hPa). Solid lines represent positive values and dashed lines represent negative values. Colors also give values of perturbation pressure with reds being positive and blues being negative. (d) Horizontal cross section (2 km x 2 km) of horizontal wind speeds (in m s<sup>-1</sup>) and streamlines at z = 100 m, from 24 m VC simulation at 121 min 10 s. Reds represent faster wind speeds, blues represent slower wind speeds.


**Figure 4.20:** Vertical view of  $0.30 \text{ s}^{-1}$  vertical vorticity isosurface with colored horizontal cross section of perturbation pressure (Pa) and horizontal streamlines at the surface. From 24 m VC simulation at 121 min 10 s.



**Figure 4.21:** Vertical cross section of the log density of cloud water condensate (gray contours) with colored horizontal cross section of perturbation pressure (Pa) at the surface. From 24 m VC simulation at 121 min 10 s. Contour interval in the cloud is 0.5.



**Figure 4.22:** Close-up vertical cross section of the log density of cloud water condensate with -12500 Pa (-125 hPa) perturbation pressure isosurface (blue). Colored surface is horizontal cross section of perturbation pressure (Pa). From 24 m VC simulation at 121 min 10 s.



**Figure 4.23:** (a) Horizontal cross section (630 m x 630 m) of vertical velocity at z = 250 m, from 12 m VC simulation at 116 min 30 s. Contour interval is 5 m s<sup>-1</sup>, omitting the zero line. Solid lines represent positive values and dashed lines represent negative values. Colors also give values of vertical velocity with reds being positive and blues being negative. (b) Horizontal cross section (630 m x 630 m) of vertical vorticity at z = 100 m, from 12 m VC simulation at 116 min 30 s. Contour interval is  $5.0 \times 10^5 \text{ s}^{-1}$  starting at 0.5 x  $10^5 \text{ s}^{-1}$ . Colors also give values of vertical vorticity with reds being positive and blues being negative and blues being negative.



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**Figure 4.23:** (Continued) (c) Horizontal cross section (630 m x 630 m) of perturbation pressure at z = 100 m, from 12 m VC simulation at 116 min 30 s. Contour interval is 5000 Pa (50 hPa). Solid lines represent positive values and dashed lines represent negative values. Colors also give values of perturbation pressure with reds being positive and blues being negative. (d) Horizontal cross section (630 m x 630 m) of horizontal wind speeds (m s<sup>-1</sup>) and streamlines at z = 100 m, from 12 m VC simulation at 116 min 30 s. Reds represent faster wind speeds, blues represent slower wind speeds.



**Figure 4.24:** Vertical view of  $0.50 \text{ s}^{-1}$  vertical vorticity isosurface with colored horizontal cross section of perturbation pressure (Pa) and horizontal streamlines at the surface. From 12 m VC simulation at 116 min 30 s.



**Figure 4.25:** Vertical cross section of the log density of cloud water condensate (gray contours) with colored horizontal cross section of perturbation pressure (Pa) at the surface. From 12 m VC simulation at 116 min 30 s. Contour interval in the cloud is 0.5.



**Figure 4.26:** Flow diagram illustrating an explicit budget of energy, coupling kinetic energy (KE), turbulent kinetic energy (TKE) and the combination of thermal energy and potential energy (PE), which is simply potential temperature,  $\theta$ . Energy can now be exchanged between the different scales. Physical turbulence and numerical dissipation convert KE into TKE, while molecular dissipation converts TKE into potential temperature. Buoyancy production can then convert potential temperature back into TKE, while TKE can be recycled back into KE through a vortex merger process.



**Figure 4.27:** Horizontal cross sections of the log density of rain (LDNRR) at z = 500 m from 24 m VC simulation at (a) 116 minutes, (b) 118 minutes, (c) 119 minutes and (d) 121 minutes and 10 seconds.



Figure 4.28: Same as Figure 4.22 except without centrifugal force.



**Figure 4.29:** Vertical cross sections of the log density of rain (LDNRR) taken through the tornado vortex. From 24 m VC simulation without centrifugal force at (a) 116 minutes, (b) 118 minutes, (c) 119 minutes and (d) 121 minutes and 10 seconds. Arrows point to leading edge of rain shaft descending through center of vortex.



**Figure 4.30:** Horizontal cross sections (2.8 km x 2.8 km) of perturbation pressure at z = 100 m from 24 m VC simulation at (a) 116 minutes, (b) 118 minutes, (c) 119 minutes and (d) 121 minutes and 10 seconds. Contour interval is 1000 Pa (10 hPa).



Figure 4.31: Same as Figure 4.24 except without centrifugal force.



**Figure 4.32:** Horizontal cross sections (2.8 km x 2.8 km) of vertical vorticity at z = 100 m from 24 m VC simulation at (a) 116 minutes, (b) 118 minutes, (c) 119 minutes and (d) 121 minutes and 10 seconds. Contour interval is 0.5 x 10<sup>5</sup> s<sup>-1</sup> starting at 0.05 x 10<sup>5</sup> s<sup>-1</sup>.



Figure 4.33: Same as Figure 4.27 except without centrifugal force.



**(a)** 

**(b)** 

**Figure 4.34:** Horizontal cross section of temperature within the tornado vortex at z = 500 m. From 24 m VC simulation (a) with and (b) without the centrifuging of rain droplets. Contour interval is 2 K.



**Figure 4.35:** Vertical view of  $0.30 \text{ s}^{-1}$  vertical vorticity isosurface with colored horizontal cross section of perturbation pressure (Pa) and horizontal streamlines at the surface. From 24 m VC simulation without centrifugal force at 121 min 10 s.



**Figure 4.36:** Vertical cross section of the log density of cloud water condensate (gray contours) with colored horizontal cross section of perturbation pressure (Pa). From 24 m VC simulation without centrifugal force at 121 min 10 s. Contour interval in the cloud is 0.5.

## **Chapter 5**

## Conclusion

Numerical modeling of small-scale atmospheric flow has made marked advancements in recent years. Despite this fact, resolving a realistically strong tornado vortex and associated condensation funnel has proven difficult. This research set forth to examine the theories behind this problem and to study the effects of one possible solution, the Vorticity Confinement (VC) technique. Experiments were performed using the University of Wisconsin - Nonhydrostatic Modeling System (UW-NMS) and modeled after the Wicker and Wilhelmson (1995) idealized tornadic supercell. The WW95 experiments were first extended by simulating the development of a single tornado vortex at resolutions of 24 m and 12 m. These high-resolution simulations accurately resolved many small-scale features of the tornado life cycle, but failed to produce a vortex strong enough to develop a condensation funnel. Therefore it was proven that by simply increasing the model resolution, a realistically strong vortex could not be achieved even when using sophisticated schemes in which kinetic energy and enstrophy are conserved.

Employing the VC technique on the simulation with 24 m resolution, led to very positive results in which a realistically intense tornado vortex developed. The associated minimum pressure within the vortex was low enough that a condensation funnel developed and descended to the surface. Despite this success, extending VC to a higher resolution grid proved to be disappointing. The simulation with 12 m resolution resulted in an unrealistically strong and narrow vortex that developed in less than a minute. This incredibly fast intensification resulted in nonlinear errors in the advective scheme, forcing the

simulation to stop after only 90 seconds. In light of these results, it is concluded that although the VC technique succeeds in producing a beautiful and realistic condensation funnel, it does not converge to the real solution as resolution is increased. The problem is attributed to the absence of an explicit energy budget to physically constrain the energy added to the system by the confinement term. Despite being physically flawed, the VC technique does provide hope in finding the missing mechanism needed to resolve strong vortices. New theories are currently being investigated in which an explicit energy budget is imposed on the system, allowing for the exchange of energy between scales. In this system, kinetic energy from the mean flow is converted into turbulent kinetic energy. The vortex is can then be strengthened through the recycling of turbulent kinetic energy back into kinetic energy. The original VC technique artificially strengthens the vortex by assuming that inertial stability blocks the loss of kinetic energy to turbulent kinetic energy.

Final experiments were performed in which the centrifugal force exerted on rain droplets in a vortex was neglected. Allowing rain droplets to fall into the vortex core without being centrifuged outward, resulted in an increase of pressure within the vortex. This rise in pressure weakened the inward-directed pressure gradient force, thus decreasing the strength of the tornado vortex. From these results it is concluded that the centrifuging of hydrometeors, such as rain droplets, can intensify the strength of a vortex by removing mass and reducing the minimum pressure inside the vortex. This strengthening of the pressure gradient force leads to faster wind speeds and stronger convergence, thus intensifying the vorticity of the tornado.

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## Appendix

The Bernoulli form of momentum acceleration is a function of the three components of the two-dimensional potential vorticity ( $\eta_i$ ) and a three-dimensional, invariant kinetic energy (k) field. Using this form allows the final three-dimensional momentum acceleration to be finite-differenced in a way that preserves the conservation of the two-dimensional potential vorticity and kinetic energy fields against numerical biases. In addition, finitedifferencing can take place in which there is a minimization or removal of artificial numerical sources of mean potential enstrophy, a common issue when finite-differencing the momentum equations. Arakawa and Lamb (1981, hereafter referred to as AL81) and Sadourny (1976) both made use of these advantages for a quasi-two-dimensional hydrostatic system. The following discussion details how this finite differencing scheme was modified for a fully coupled three-dimensional flow on an Arakawa C-grid.

The finite-differenced form of the two-dimensional potential vorticity used in the original AL81 scheme is given by:

$$\eta_{1}^{i,j+1/2,k+1/2} = \frac{1}{\left(\overline{\rho}^{y^{z}}\right)^{i,j+1/2,k+1/2}} \left[ \frac{\left(\cos\phi^{j+1}u_{3}^{i,j+1,k} - \cos\phi^{j}u_{3}^{i,j,k}\right)}{\cos\phi^{j+1/2}\Delta x_{2}} - \frac{\left(u_{2}^{i,j+1/2,k+1} - u_{2}^{i,j+1/2,k}\right)}{\Delta x_{3}} \right]$$
(A1)

$$\eta_{2}^{i+1/2,j,k+1/2} = \frac{1}{\left(\overline{\rho}^{x}\right)^{i+1/2,j,k+1/2}} \left[ \frac{\left(u_{1}^{i+1/2,j,k+1} - u_{1}^{i+1/2,j,k}\right)}{\Delta x_{3}} - \frac{\left(u_{3}^{i+1,j,k} - u_{3}^{i,j,k}\right)}{\cos\phi^{i}\Delta x_{1}} \right]$$
(A2)

$$\eta_{3}^{i+1/2,j+1/2,k} = \frac{1}{\left(\overline{\rho}^{x}\right)^{i+1/2,j+1/2,k}} \left[ \frac{\left(u_{2}^{i+1,j+1/2,k} - u_{2}^{i,j+1/2,k}\right)}{\cos\phi^{j+1/2}\Delta x_{1}} - \frac{\left(\cos\phi^{j+1}u_{1}^{i+1/2,j+1,k} - \cos\phi^{j}u_{1}^{i+1/2,j,k}\right)}{\cos\phi^{j+1/2}\Delta x_{2}} \right] (A3)$$

The *i*, *j*, and *k* superscripts refer to the  $(x_1, x_2, x_3)$  grid number on a three-dimensional Arakawa C-grid where velocity and scalar time-dependent variables are located at  $(u_1^{i+1/2,j,k}, u_2^{i,j+1/2,k}, u_3^{i,j,k+1/2}, T^{i,j,k})$ . Latitude,  $\phi^j$ , is only a function of  $x_2$  in the rotated spherical grid. It should be pointed out that curvature terms are implicit to this system through the use of the cosine function for the  $x_2$  differencing in the  $\eta_1$  and  $\eta_3$  equations.

Despite maintaining the conservation of specific kinetic energy (k) in several different forms, problems arise when using the finite difference form of k, as detailed by AL81. These difficulties are the result of calculating the gradient of kinetic energy with different velocity points than those used for the calculation of vorticity-based accelerations. This ultimately leads to an inaccurate computation of the momentum acceleration terms, thus destroying the solution. To correct the problem, the kinetic energy and two-dimensional potential vorticity are averaged so that the same velocity points are used in the calculations of the kinetic energy gradient and the vorticity acceleration terms.

The specially averaged finite difference form of the kinetic energy is given by:

$$\left(\overline{k}\right)^{i,j,k} = \frac{1}{2} \left( \sum_{l=1}^{3} \overline{u_l}^{x_l} \overline{\overline{u_l}^{x_l}}^{x_m} \right)^{i,j,k} + \frac{2}{3} e^{i,j,k}$$
(A4)

where the subscript  $m \neq n \neq l$ , and  $e^{i,j,k}$  is the turbulent kinetic energy which is predicted or diagnosed from the physical turbulence model. The averages of the two-dimensional potential vorticity are weighted by density, as shown in the following equations:

$$\left(\overline{\eta_{1}}^{x_{1}}\right)^{i,j+1/2,k+1/2} = \frac{\left(\overline{\left(\overline{\rho^{x_{3}}}^{x_{2}}\right)^{i,j+1/2,k+1/2}} \eta_{1}^{i,j+1/2,k+1/2}}{\left(\overline{\rho^{x_{3}}}^{x_{2}}\right)^{i,j+1/2,k+1/2}}\right)^{x_{1}}}{\left(\overline{\rho^{x_{3}}}^{x_{2}}\right)^{i,j+1/2,k+1/2}}$$
(A5)
$$\left(\overline{\eta_{2}}^{x_{2}}\right)^{i+1/2,j,k+1/2} = \frac{\left(\overline{\left(\overline{\rho^{x_{3}}}^{x_{1}}\right)^{i+1/2,j,k+1/2}} \eta_{2}^{i+1/2,j,k+1/2}}\right)^{x_{2}}}{\left(\overline{\rho^{x_{3}}}^{x_{1}}\right)^{i+1/2,j,k+1/2}}$$
(A6)
$$\left(\overline{\eta_{3}}^{x_{3}}\right)^{i+1/2,j+1/2,k} = \frac{\left(\overline{\left(\overline{\rho^{x_{1}}}^{x_{2}}\right)^{i+1/2,j+1/2,k}} \eta_{3}^{i+1/2,j+1/2,k}}\right)^{x_{3}}}{\left(\overline{\rho^{x_{1}}}^{x_{2}}\right)^{i+1/2,j+1/2,k}}}$$
(A7)

The above averages are normal to the two-dimensional plane of the finite-differenced, enstrophy-conserving AL81 formulation. Therefore, they invoke no change to the original scheme.

As defined by AL81, the momentum terms are as follows:

$$m_1^{i+1/2,j,k} = \frac{1}{2} u_1^{i+1/2,j,k} \overline{\rho}^{x_1} \Delta x_1 \cos \phi^j$$
(A8)

$$m_2^{i,j+1/2,k} = \frac{1}{2} u_2^{i,j+1/2,k} \overline{\rho}^{x_2} \Delta x_2$$
 (A9)

$$m_3^{i,j,k+1/2} = \frac{1}{2} u_2^{i,j,k+1/2} \overline{\rho}^{x_3} \Delta x_3$$
(A10)

As seen by these equations, each of the three momentum components is weighted by the grid spacing used in the same component direction. The spherical effect, as seen by the cosine function, was accounted for in the AL81 formulation. However, variable grid spacing was not. For the purposes of the NMS model, variable grid spacing only comes into play in the vertical direction.

The enstrophy-conserving, finite difference form of the momentum equations give us the full, three-dimensional inertial terms for momentum:

$$I_{1}^{i+1/2,j,k} = \frac{1}{\Delta x_{1} \cos \phi^{j}} \left[ \left( \overline{m_{2} \eta_{3}} \right)^{i+1/2,j,k} - \left( \overline{m_{3} \eta_{2}} \right)^{i+1/2,j,k} - \left( \left( \overline{k} \right)^{i+1,j,k} - \left( \overline{k} \right)^{i,j,k} \right) \right]$$
(A11)

$$I_{2}^{i,j+1/2,k} = \frac{1}{\Delta x_{2}} \left[ \left( \overline{m_{3}} \eta_{1} \right)^{i,j+1/2,k} - \left( \overline{m_{1}} \eta_{3} \right)^{i,j+1/2,k} - \left( \left( \overline{k} \right)^{i,j+1,k} - \left( \overline{k} \right)^{i,j,k} \right) \right]$$
(A12)

$$I_{3}^{i,j,k+1/2} = \frac{1}{\Delta x_{3}} \left[ \left( \overline{m_{1}} \eta_{2} \right)^{i+1/2,j,k} - \left( \overline{m_{2}} \eta_{1} \right)^{i+1/2,j,k} - \left( \left( \overline{k} \right)^{i,j,k+1} - \left( \overline{k} \right)^{i,j,k} \right) \right]$$
(A13)

The nine vorticity terms,  $(\overline{m_i \eta_j})$ , in Equations (A11)-(A13) are fully defined in AL81.

For the conciseness of this discussion, only those terms found in the  $u_1$  equation of motion are defined here:

$$(m_{2}\eta_{3})^{i+1/2,j,k} = +m_{2}^{i+1,j+1/2,k}\alpha_{23}^{i+1/2,j+1/2,k} + m_{2}^{i,j+1/2,k}\beta_{23}^{i+1/2,j+1/2,k} + m_{2}^{i+1,j-1/2,k}\gamma_{23}^{i+1/2,j+1/2,k} + m_{2}^{i+1,j-1/2,k}\delta_{23}^{i+1/2,j+1/2,k} - \varepsilon_{23}^{i+1/2,j,k}u_{1}^{i+3/2,j,k} + \varphi_{23}^{i-1/2,j,k}u_{1}^{i-1/2,j,k} (m_{3}\eta_{2})^{i+1/2,j,k} = +m_{3}^{i+1,j,k+1/2}\alpha_{32}^{i+1/2,j,k+1/2} + m_{3}^{i,j+1/2,k}\beta_{32}^{i+1/2,j,k+1/2} + m_{3}^{i+1,j,k-1/2}\gamma_{32}^{i+1/2,j,k+1/2} + m_{3}^{i+1,j,k-1/2}\delta_{32}^{i+1/2,j,k+1/2} - \varepsilon_{32}^{i+1/2,j,k}u_{1}^{i+3/2,j,k} + \varphi_{32}^{i-1/2,j,k}u_{1}^{i-1/2,j,k}$$
 (A15)

where  $\alpha_{ij}$ ,  $\beta_{ij}$ ,  $\gamma_{ij}$ ,  $\delta_{ij}$ ,  $\varepsilon_{ij}$ , and  $\varphi_{ij}$  are the weighting coefficients defined by AL81, which have been extended for this three-dimensional formulation. Again, for the conciseness of this discussion, only the weighting coefficients pertaining to the  $(m_2\eta_3)^{i+1/2, j,k}$  terms in the  $u_1$ equation are defined here:

$$\beta_{23}^{i+1/2,j+1/2,k} = \frac{1}{24} \left[ 2 \left( \overline{\eta_{3}^{i+1/2,j+1/2,k}}^{x_{3}} + \overline{\eta_{3}^{i-1/2,j+1/2,k}}^{x_{3}} + \overline{\eta_{3}^{i+1/2,j-1/2,k}}^{x_{3}} \right) + b_{23}^{i+1/2,j+1/2,k} \right]$$
(A17)  
$$\gamma_{23}^{i+1/2,j+1/2,k} = \frac{1}{24} \left[ 2 \left( \overline{\eta_{3}^{i+1/2,j+1/2,k}}^{x_{3}} + \overline{\eta_{3}^{i-1/2,j+1/2,k}}^{x_{3}} + \overline{\eta_{3}^{i+1/2,j+3/2,k}}^{x_{3}} \right) + g_{23}^{i+1/2,j+1/2,k} \right]$$
(A18)

 $\delta_{23}^{i+1/2,j+1/2,k} = \frac{1}{24} \left[ 2 \left( \overline{\eta_3^{i+1/2,j+1/2,k}}^{x_3} + \overline{\eta_3^{i+3/2,j+1/2,k}}^{x_3} + \overline{\eta_3^{i+1/2,j+3/2,k}}^{x_3} \right) + d_{23}^{i+1/2,j+1/2,k} \right]$ 

 $\mathcal{E}_{23}^{i+1/2,j+1/2,k} = \frac{1}{24} \left( d_{23}^{i+1/2,j-1/2,k} - a_{23}^{i+1/2,j+1/2,k} \right)$ 

 $\varphi_{23}^{i+1/2,j+1/2,k} = \frac{1}{24} \left( g_{23}^{i+1/2,j+1/2,k} - b_{23}^{i+1/2,j+1/2,k} \right)$ 

 $a_{23}^{i+1/2, j+1/2, k} = \overline{\eta_3^{i+3/2, j-1/2, k}}^{x_3} - \overline{\eta_3^{i+1/2, j+1/2, k}}^{x_3}$ 

 $b_{23}^{i+1/2, j+1/2, k} = \overline{\eta_3^{i-1/2, j-1/2, k}}^{x_3} - \overline{\eta_3^{i+1/2, j+1/2, k}}^{x_3}$ 

 $d_{23}^{j+1/2,k+1/2} = \overline{\eta_3^{i+3/2,j+3/2,k}}^{x_3} - \overline{\eta_3^{i+1/2,j+1/2,k}}^{x_3} = -b_{23}^{i+3/2,j+3/2,k}$ 

 $g_{23}^{i+1/2,j+1/2,k} = \overline{\eta_3^{i-1/2,j+3/2,k}}^{x_3} - \overline{\eta_3^{i+1/2,j+1/2,k}}^{x_3} = -a_{23}^{i-1/2,j+3/2,k}$ 

where,

$$\alpha_{23}^{i+1/2,j+1/2,k} = \frac{1}{24} \left[ 2 \left( \overline{\eta_3^{i+1/2,j+1/2,k}}^{x_3} + \overline{\eta_3^{i+3/2,j+1/2,k}}^{x_3} + \overline{\eta_3^{i+1/2,j-1/2,k}}^{x_3} \right) + a_{23}^{i+1/2,j+1/2,k} \right]$$
(A16)

(A18)

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