

NORTH ATLANTIC CARBON CYCLE RESPONSE TO  
CLIMATE VARIABILITY

by

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## **ABSTRACT**

Air-sea CO<sub>2</sub> flux variability in the North Atlantic has been found to be small in a variety of ocean biogeochemical models and at least one atmospheric CO<sub>2</sub> inversion study, yet the mechanisms that damp variability in this region of large net carbon uptake are poorly understood. A biogeochemical general circulation model was used to assess the impact of climate variability from 1980-2006 on the CO<sub>2</sub> flux and surface pCO<sub>2</sub> in the North Atlantic. Results show a strong correlation between flux and pCO<sub>2</sub> variability. Two distinct mechanistic regions are found explaining general pCO<sub>2</sub> variability: temperature driven versus dynamics (mixing) driven. Model output pCO<sub>2</sub> was separated into its influences from dissolved inorganic carbon (DIC), alkalinity (ALK), phosphate, silicate, sea-surface temperature (SST), and sea-surface salinity (SSS) to assess the mechanisms driving pCO<sub>2</sub> variability. These pCO<sub>2</sub> influences were regressed onto the North Atlantic Oscillation (NAO) index and onto the first principal component of the pCO<sub>2</sub> to assess the

effects of the main modes of climate and carbon variability in the region on the carbon system. The NAO regression shows that while the effects of SST and ALK on  $p\text{CO}_2$  variability balance each other in the eastern subtropical gyre, DIC and SST effects balance in the subpolar gyre, such that the overall variability of  $p\text{CO}_2$  is small.

Regression of the  $p\text{CO}_2$  components on the first PC shows that horizontal advection may also be important. Driving forces behind  $p\text{CO}_2$  variability are evaluated with regard to limited data from transect and time series observations.

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## 1. INTRODUCTION

Since the Industrial Revolution, there has been a marked increase in the concentration of CO<sub>2</sub> in the atmosphere. Human activities, such as the burning of fossil fuels, the production of cement, and changes in land use, have all led to a change in atmospheric CO<sub>2</sub> concentrations from about 280 ppm in 1850 to values of about 380 ppm in 2007 (Keeling & Whorf, 2005). This increase, however, has been moderated through terrestrial and oceanic sinks that have assimilated roughly half of the total CO<sub>2</sub> emitted from anthropogenic sources (Sarmiento & Gruber, 2002). While the overall sink of anthropogenic CO<sub>2</sub> is primarily driven by the terrestrial biosphere, changes in land use and biomass burning have led to a terrestrial flux of CO<sub>2</sub> from the land to the atmosphere, such that the oceans have served as the only “true” sink for anthropogenic CO<sub>2</sub> over the past 200 years, and were it not for oceanic uptake, atmospheric CO<sub>2</sub> would be 55 ppm higher than present levels (Sabine et al., 2004).

The oceanic sink is an important factor in the long-term mean concentration of atmospheric CO<sub>2</sub>, and it also exhibits a strong interannual variability. On the global level, the growth rate of atmospheric CO<sub>2</sub> varies considerably more than estimated anthropogenic CO<sub>2</sub> emissions (Conway et al., 1994, Sarmiento and Gruber, 2002), suggesting that interannual variability of CO<sub>2</sub> sinks have a strong impact on the overall variability in the atmosphere. For the ocean, year-to-year changes in climate forcing can have profound impacts on ocean temperature, chemistry, and circulation, all of which impact the ocean carbon cycle. Since atmospheric pCO<sub>2</sub> is nearly constant (yet increasing), air-sea flux variability is primarily driven by surface ocean pCO<sub>2</sub> variability. Aside from the prominent role of ENSO variability, the causes of interannual variability

in the mid to high latitude ocean sink is poorly understood, with estimates of sink magnitudes and variability largely inconsistent (McKinley et al., 2004; Gurney et al., 2002; Keeling et al., 1996; Peylin et al., 2005).

There is also significant spatial variability in the ocean sink. Due to differences in ocean circulation and chemistry, each basin has its own inherent ability to absorb atmospheric CO<sub>2</sub>. The Atlantic Ocean plays a significant role in the uptake of anthropogenic CO<sub>2</sub>. Takahashi et al. (2002) estimated that the Atlantic accounts for 41% of the global flux of CO<sub>2</sub> into the ocean. The North Atlantic (north of equator) is particularly important in the global CO<sub>2</sub> flux. While this basin comprises only 15% of the global ocean surface, it has absorbed 23% of the anthropogenic carbon stored in the oceans (Sabine et al. 2004).

### **1.1 Ocean Carbon Cycle Chemistry**

The amount of CO<sub>2</sub> that fluxes into/out of the ocean is fundamentally driven by the difference between the partial pressures of CO<sub>2</sub> in the surface ocean and the atmosphere ( $\Delta p\text{CO}_2 = p\text{CO}_{2\text{ocean}} - p\text{CO}_{2\text{atm}}$ ). If the pCO<sub>2</sub> in the atmosphere is higher relative to the surface ocean, then the net flux of CO<sub>2</sub> will proceed from the atmosphere to the ocean, and vice-versa. In other words, regions where  $\Delta p\text{CO}_2 < 0$  are considered undersaturated waters and regions with  $\Delta p\text{CO}_2 > 0$  are considered supersaturated. Since pCO<sub>2atm</sub> is relatively constant in space due to rapid atmospheric mixing (yet increasing over time), spatial variability in  $\Delta p\text{CO}_2$  is driven by changes in surface ocean pCO<sub>2</sub>.

Other controls on surface ocean pCO<sub>2</sub> include changes in temperature, salinity, alkalinity (ALK), and dissolved inorganic carbon (DIC). For a given pCO<sub>2</sub> (salinity,

ALK, and DIC held constant), Takahashi et al. (1993) experimentally found the following relationship to explain the connection between temperature and  $p\text{CO}_2$ :

$$\frac{1}{p\text{CO}_2} \frac{\partial p\text{CO}_2}{\partial T} = \frac{\partial \ln p\text{CO}_2}{\partial T} \approx 0.0423^\circ\text{C}^{-1}$$

Thus, an increase in SST causes an increase in sea surface  $p\text{CO}_2$ . A similar directly proportional relationship exists for salinity, but does not have as strong an impact on  $p\text{CO}_2$  as temperature.

After dissolving into ocean water,  $\text{CO}_2$  acts as an acid/proton donor to form  $\text{H}^+$ ,  $\text{HCO}_3^-$ , and  $\text{CO}_3^{2-}$  according to the following chemical equation (where  $\text{H}_2\text{CO}_3^*$  is the pre-dissociation dissolved  $\text{CO}_2$ ):



The variable used to describe the overall state of this carbon cycle is dissolved inorganic carbon (DIC), which is defined as the sum of the concentrations of all dissolved carbon species, or:

$$\text{DIC} = [\text{H}_2\text{CO}_3^*] + [\text{HCO}_3^-] + [\text{CO}_3^{2-}]$$

Clearly, as DIC increases, the overall amount of carbon in the system increases, along with  $p\text{CO}_2$ , so regions with elevated DIC will exhibit a decreased (or reverse/outgassing) air-sea flux.

Another chemical property of seawater that affects the  $p\text{CO}_2$  near the surface is alkalinity (ALK). Alkalinity is defined as the measure of the excess of bases over acids (Sarmiento and Gruber, 2006) and is often best understood by the following equation:

$$\text{ALK} = [\text{HCO}_3^-] + 2[\text{CO}_3^{2-}] + [\text{OH}^-] - [\text{H}^+] + [\text{B}(\text{OH})_4^-] + \text{minor bases}$$

Clearly there is some relationship between DIC and ALK through the carbonate and bicarbonate ions, and hence ALK is also an important property defining the chemical balance of the carbon in seawater. However, DIC and ALK affect  $p\text{CO}_2$  in different ways (where  $k_0$ ,  $k_1$ ,  $k_2$  are chemical equation rate constants):

$$p\text{CO}_2 \approx \frac{k_2}{k_0 \cdot k_1} \cdot \frac{(2 \cdot \text{DIC} - \text{ALK})^2}{\text{ALK} - \text{DIC}} \text{ (Sarmiento and Gruber, 2006).}$$

The equation above shows the directly proportional relationship between DIC and  $p\text{CO}_2$  and an inversely proportional relationship between ALK and  $p\text{CO}_2$ .

The physical carbon cycle is further complicated through the effects of temperature on surface ocean dynamics. As discussed above, changes in ocean temperature have an immediate impact on the  $p\text{CO}_2$  of the surface ocean, with colder waters less able to hold  $p\text{CO}_2$ . However, colder waters are also denser, driving increased mixing which brings high DIC waters from depth to the surface to balance a required conservation of mass, thus increasing  $p\text{CO}_2$ . (Deep waters are typically higher in DIC from the accumulation of carbon sinking through the “biological pump,” discussed next). These opposing impacts on the surface  $p\text{CO}_2$  drive some regions to be dominated by mixing (dynamics-driven) and other regions dominated by temperature (temperature driven).

The discussion thus far describes the inorganic carbon cycle pathway, and while the focus of this thesis is not on the biological uptake of  $\text{CO}_2$ , it is important to note that biological processes can have regionally large impacts on the distribution of carbon. Through photosynthesis, carbon is incorporated into biological material at or near the surface. This biological material can be remineralized (i.e. redissolved) into surface

waters, transported downward and remineralized at depth, or transported to the seafloor and incorporated into sediments. The downward transport removes DIC from the surface, and the subsequent remineralization below the mixed-layer drives the high concentrations of DIC in the deep ocean. This downward transport of carbon is referred to as the “Biological Pump.”

## **1.2 North Atlantic Carbon Cycle Variability**

While the overall uptake of CO<sub>2</sub> by the North Atlantic has been found to be relatively important on a global scale, the significance of North Atlantic flux variability is less clear. Atmospheric inverse models (Bousquet et al., 2000) and spatially extrapolated timeseries data (Gruber et al., 2002) suggest North Atlantic flux variability range to be on the order of  $\pm 0.3 \text{ Pg C year}^{-1}$ , a significant fraction of the global ocean CO<sub>2</sub> flux variability of  $\pm 0.5\text{-}1.0 \text{ Pg C year}^{-1}$  (Le Quéré et al., 2000; Bousquet et al., 2000). Other forward modeling studies, however, have suggested that global ocean flux variability is smaller (less than  $\pm 0.5 \text{ Pg C year}^{-1}$ ) and that the North Atlantic does not play a significant role in this variability (McKinley et al., 2004; Obata and Kitamura, 2003; Le Quéré et al., 2000). Biogeochemical ocean models do tend to under-represent surface physical variability (McKinley et al., 2004; Peylin et al., 2005; Le Quéré et al., 2000), but even if one assumes forward model output to be a lower bound, there is still a discrepancy in the understanding of flux variability in the North Atlantic.

### **1.3 North Atlantic Climate Variability and the North Atlantic Oscillation**

The prominent mode of climate variability in the North Atlantic region is the North Atlantic Oscillation (NAO). The NAO is a redistribution of atmospheric mass between the Subtropical and Northern North Atlantic (Hurrell & Dickson, 2004). It is most often operationally defined as the difference between surface pressures of the Azores high-pressure center and the Icelandic low-pressure center. Most pronounced during Northern Hemisphere winter months, the variability in this meridional pressure gradient drives significant climate variability in the atmosphere and the underlying ocean, accounting for one-third of the variance in sea level pressure over the North Atlantic (Hurrell et al., 2003). During a positive phase of the NAO, a large meridional pressure gradient drives a number of climatic changes: enhanced westerly flow bringing warm moist air across the mid-North Atlantic and into Europe, enhanced warm southerly flow over the eastern United States, and enhanced cold northerly flow over Greenland and the western Atlantic (Hurrell et al., 2003). Such anomalous flow drives a northeastward shift in Atlantic storm activity, with more intense and frequent storms near Iceland and the Norwegian Sea (Hurrell et al., 2003).

These changes in atmospheric climate have an impact on surface ocean variability. Most pronounced is the typical tripole pattern of the leading mode of SST variability in the North Atlantic, with anomalously cold SST in the subpolar region, anomalously warm SSTs in the mid-latitude Atlantic off of Cape Hatteras, NC, and anomalously cold SSTs between 0-30°N (Visbeck, 2001). Due to the strong link between surface wind and surface ocean circulation, numerous studies have also shown significant impacts of the NAO on ocean circulation (Bersch, 2002; Marshall et al., 2001). Finally,

changes in atmospheric circulation and pressure associated with the NAO influences overall storminess and precipitation over the ocean (Bojariu and Reverdin, 2002; Mariotti and Arkin, 2007). Such changes in cloud cover and freshwater input have a strong impact on surface salinity, temperature, and alkalinity (Mignot and Frankignoul, 2003).

#### **1.4 Interannual Variability as a Path to Carbon Cycle Mechanisms**

This thesis aims to understand the effects of interannual variability in atmosphere and ocean physics on the North Atlantic carbon sink. Looking at interannual variability is useful because it can aid in developing an understanding of the mechanisms that drive the ocean carbon cycle. A mechanistic understanding of ocean carbon cycle will allow for better prediction of future change in an environment of increasing atmospheric CO<sub>2</sub>.

Through the use of the MIT ocean-biogeochemical general-circulation-model, the effects of climate variability from 1980-2006 on the air-sea flux of carbon and surface pCO<sub>2</sub> in the North Atlantic is assessed. Since the NAO is the main mode of variability within the North Atlantic, this well-known index of climate variability is used to help interpret variability in the model output. Other modes of ocean pCO<sub>2</sub> variability that arise through other climate variability are also discussed using Empirical Orthogonal Functions (EOFs) also known as Principal Component Analysis (PCA). This analysis helps indicate other mechanisms driving carbon cycle variability aside from those due to changes in the NAO.

## 2. METHODS

### 2.1 Model

The MIT Ocean General Circulation Model (Marshall et al., 1997a,b) was regionally configured for the North Atlantic between 20°S and 81.5°N, with a horizontal resolution of 0.5° latitude and 0.5° longitude. The model is set up to have 23 vertical levels with a resolution of 10 m thickness at the surface increasing to 500 m thickness for depths greater than 2200 m. The Gent-McWilliams (Gent & McWilliams, 1990) eddy parameterization and the KPP boundary layer mixing scheme (Large et al., 1994) are employed to represent sub-gridscale processes. The general circulation model is forced with daily wind stress (10 m), net heat flux (Qnet), and freshwater (evaporation minus precipitation or EmP) flux, using 1980-2006 reanalyzed output from the National Centers for Environmental Prediction (NCEP) reanalysis I (Kalnay et al., 1996).

### 2.2 Sponge Layer

To address rigid boundary issues in this regional model, a “sponge layer” is included to dampen accumulation of tracers along false boundaries that would in reality flow out of the North Atlantic into other ocean basins. A sponge layer acts to relax values along the boundary to climatological values, such that grid cells closest to the boundary have the biggest weight, with influence dropping off away from the boundary as described by the following equation:

$$\frac{\partial(tracer)}{\partial t} = \frac{\partial(tracer)}{\partial t} - \lambda \frac{(tracer - tracer_{obs})}{\tau_{RELAX}},$$



where applied values of  $\lambda$  decrease nearly exponentially away from the boundary, *tracer\_obs* is based on monthly climatological values, and  $\tau_{RELAX}$  is the relax timescale (how often the model relaxes back to climatology). The decrease in  $\lambda$  away from the boundary results in a stronger forcing back to the climatology for grid cells closer to the boundary and a weaker relaxation back to the climatology away from the boundary (thus a bigger influence by model dynamics). For temperature and salinity, the sponge layer is implemented along the southern boundary and at the Mediterranean inflow of the Strait of Gibraltar. For nutrients of the biogeochemical model, the sponge layer is only implemented along the southern boundary. Attempts were made to include a sponge layer along the northern boundary (north of 81.5°N), but tests comparing the effects on circulation, temperature, and salinity show that a sponge layer along this boundary is inconsequential and therefore can be discarded. Similarly, differences in biological production between the Mediterranean and the eastern basin are small, suggesting that nutrient inflow from the Mediterranean is insignificant (Béthoux et al., 1998). Therefore the nutrient sponge layer at this boundary was not included.

### 2.3 Biogeochemical Model

Also included in the total model is an ecosystem model of intermediate complexity (Figure 1) as developed by Dutkiewicz et al. (2005), modeling a pelagic ecosystem with one zooplankton class and two phytoplankton classes: diatoms and “small” phytoplankton. This ecosystem is limited by the coupled cycles of phosphorus, silicon, and iron. Biological iron cycling is modeled following Parekh et al. (2004), using an aeolian dust input (Mahowald et al., 2003; Luo et al., 2003). The phytoplankton classes

differ in their maximum growth rate, nutrient requirements, and edibility by the zooplankton (the larger diatoms are less palatable). The small phytoplankton are limited by phosphorus and iron only, but the diatoms are limited by phosphorus, iron, and silicon. Nitrogen cycling is not incorporated because of its higher complexity and because it is less of a limiting nutrient in the North Atlantic than in other basins (Sarmiento & Gruber, 2006). Both phytoplankton classes are also limited by light, prescribed in the model by defining the photosynthetically available radiation (PAR) as a percentage of the amount of shortwave radiation reaching the surface ocean (from NCEP forcing). PAR decreases vertically in water column factoring attenuation coefficients for the water itself and the biological abundance increasing the turbidity. During winter months, the amount of light reaching the surface is also dependent on the amount of ice cover (assuming high-albedo ice cover blocks shortwave radiation from reaching the upper ocean). This ice-cover is also prescribed by data from the NCEP reanalysis I (Kalnay et al., 1996).

While the ecosystem is confined to the surface layers, nutrient cycles are computed over the entire water column. Nutrients are carried in the modeled plankton species and through pools of particulate organic matter (POM) and dissolved organic matter (DOM). Both zooplankton and phytoplankton contribute to the pools of DOM and POM, but among phytoplankton, diatoms contribute more to POM due to their larger size. While DOM remains suspended in the water column, POM sinks at a constant rate, simulating the “biological pump” of organic matter and nutrients to the abyss. Both POM and DOM are affected by ocean circulation and remineralize into an inorganic and bio-available pool of nutrients. Nutrients at depth can be recirculated to the surface through advection and convective upwelling.

Carbonate chemistry is modeled using values of DIC, ALK, phosphate, silicate, temperature, salinity, and pH to calculate the surface  $p\text{CO}_2$  (Follows et al., 2006). The air-sea gas exchange for  $\text{CO}_2$  is modeled as a function of temperature, the square of the wind-speed, and the difference between  $p\text{CO}_2$  in the surface water and  $p\text{CO}_2$  in the atmosphere following relationships described by Wanninkhof (1992). Atmospheric  $p\text{CO}_2$  levels were prescribed following the time-varying curve from Mauna Loa observations (Keeling & Whorf, 2005), which include the seasonal cycle and the increasing trend over time. Changes in carbon and oxygen due to changes in biology are calculated using the fixed Redfield ratio, expressing the ration between oxygen, carbon, silicate, and phosphorus as 170:120:25:1 (Anderson & Sarmiento, 1994).

## **2.4 Model Spin Up**

The physical model was spun up through three 27-year cycles of varying daily forcings from 1980-2006 (total of 81 years spin up). In order to curb drift throughout the spin up, potential temperature and salinity were relaxed back to climatology from the World Ocean Atlas (WOA, Locarnini et al., 2006; Antonov et al., 2006) on timescales of every 2 and 4 weeks respectively. This relaxation to climatology reduces the overall variability in the model output but aids in the reduction of unrealistic model drift.

Next, the biogeochemical model was spun up for ten years of 1980-1989 daily forcing with a constant atmospheric  $p\text{CO}_2$  fixed at 345 ppm (roughly the 1980 level) with temperature/salinity relaxation included as before. This spin up was repeated for another 10 years with the same forcing to eliminate any drift still in the biogeochemical system after the first 10 years.

During the last 27-year cycle of the spin up, the relaxation terms (i.e. modeled value minus climatological value, or the amount that the model uses to correct back to climatology at each time step) were extracted from the output. A daily climatology of these relax terms was created from the 27-years of output. This relaxation climatology was then incorporated back into the forcing files of net heating and freshwater fluxes (Qnet+Trelax; EmP-Srelax). In this way, the relaxation needed to keep the model stable was incorporated into the forcing itself so that the relaxation step could be eliminated and the overall variability enhanced (no longer limited each time step by the relaxation). Preliminary tests of this method show that temperature and salinity in the model do indeed remain relatively stable, but the overall variance in the data increases appreciably, which is advantageous when studying interannual variability in the ocean system. Figure 2 shows the seasonal cycle and anomalies from a ten-year test run to compare output with the relaxation turned on versus output with relaxation terms incorporated into the forcing. While there are small differences in the overall mean cycle, there is a considerable increase in the overall variability present in the anomalies when the relaxation is turned off. The final run used the full biogeochemical model with relaxation turned off, 1980-2006 daily forcings that incorporated the climatology of the relax terms, and the time-varying atmospheric pCO<sub>2</sub> field.

### **3. RESULTS**

#### **3.1 Model Validation: Gulf Stream Flow**

One of the main reasons for modeling the ocean is due to a lack of observational data. To empirically sample the ocean with the spatial and temporal resolution to competently

assess its complex mechanisms would be so prohibitively expensive, it would be impossible. Models help to fill in the gaps of the puzzle where the pieces are unavailable. The limited data that is available, however, is necessary to validate that the model is within the realm of reality. (Of course, the acquisition of more data always helps to further constrain the models, adding more pieces to the puzzle and shrinking the gaps to be filled in by the model).

**Table 1: Transport comparisons in Sverdrups ( $1 \text{ Sv} = 10^6 \text{ m}^3/\text{s}$ )**

LOCATION	MODEL	OBSERVED	SOURCE
Gulf Stream at Cape Hatteras	50.6 Sv	70-100	Tomczak and Godfrey (1994)
Gulf Stream at 65°W	28.6 Sv	90-150 Sv	Tomczak and Godfrey (1994)
Gulf Stream at 40°W	20.9 Sv	37 Sv	Worthington (1976)
Florida Strait at 26.5°N	15.0 Sv	31.5 Sv	Cunningham et al. (2007)
Florida Strait at 26.5° N (extended)	26.3 Sv		

Table 1 compares the model transport of major ocean currents in the North Atlantic with published observations. All of the Gulf Stream model estimates integrate the current velocity over a span of 15° wide and 985m deep to calculate the transport. All estimates of the Gulf Stream are considerably less than the observed estimates. It appears as though the model is poorly representing the recirculation in the north, a characteristic problem for the MITgcm and similar non-hydrostatic models (McKinley et al, 2000). Such recirculation would intensify the western boundary current.

Upon further investigation of the location of the Gulf Stream, our model shows a northward displacement of the location of the Gulf Stream relative to observation.

Because the initial spin up relaxes to the observational mean of temperature and salinity, this relaxation may also cause a damping of the transport in this current.

The Florida Strait is typically strong and narrow current confined between the coast of Florida and the Bahamas. Because our model does not resolve the Bahaman Islands, some of this flow in the model extends past the width of the observed width of the Florida Strait. If the extended width of the flow is included in the calculation (“extended” estimate, in Table 1), then the modeled transport compares favorably with the observations.

### **3.2 Mixed Layer Depths**

Another way to measure the overall skill of a physical ocean model is to look at its mixed layer depths (MLDs). MLD is dependent on the density stratification of the ocean and thus a function of salinity (S) and temperature (T). Comparing MLDs between model results and observations is useful because it integrates both of the physical parameters affecting density. MLD is also a crucial variable for comparison due to its importance with regard to the carbon cycle. Changes in MLD can affect the amount of DIC and nutrients reaching the surface, and MLDs are driven in part by changes in T, all of which affect the air-sea carbon flux. For the purpose of this study, MLDs have been calculated as the depth at which potential density exceeds that of the surface by  $0.125 \text{ kg/m}^3$  (following Monterey & Levitus, 1997).

Figure 3 compares seasonal MLD output from the model with observed climatology from the WOA database (MLD calculated from salinity and temperature climatology; Locarnini et al., 2006; Antonov et al., 2006). The model captures the

magnitude and spatial distribution of mixing fairly well, particularly in summer months, when the water column is more stratified. During the winter months, however, the model overestimates deep mixing in high latitude regions such as the Norwegian Sea and the waters off the southern coast of Greenland. Much of this has to do with high variability in regions of deep winter mixing, but the depth resolution of this model is also coarse below 1000m depth (up to 500m resolution), such that small changes in the temperature/salinity structure can result in significant MLD overestimates. Also, the observational record of these high latitude regions is more limited in winter months due to rough seas and sampling difficulty, suggesting that there may be a higher degree of uncertainty in the climatological estimates of MLDs in the North Seas.

The seasonal climatology comparisons of MLD also highlight differences in the location of the Gulf Stream. This difference is particularly strong in the winter months with evidence of a weaker Gulf Stream. This weaker transport of warm subtropical waters limits the warming of these waters off of Newfoundland, which subsequently drives relatively deeper mixing in the model. The MLD differences near the Gulf Stream in the summer months shows a dipole of deeper/shallower MLDs, suggesting a displacement in the location of the Gulf Stream between the model and observations, with the location of the model's Gulf Stream displaced southward (light blue regions, centered at approximately 35°N, 60°W in Figure 3).

### **3.3 Interannual Variability of Mixed Layer Depths**

There is a limited observation record of MLD variability available from the Bermuda-Atlantic Timeseries Station (BATS, 31°40'N, 64°10'W, [Bates, 2007]), providing

monthly-resolved Conductivity-Temperature-Depth (CTD) instrument data since 1988. The model matches the observed seasonal climatology at BATS quite well (Figure 4), with an overestimate of about 50m during February and March, times of deep mixing. Comparing the MLD variability shows that the timing of the mixing in the model also matches the data, but the magnitude of the winter mixing in the model goes from too shallow in the early 1990s to too deep in the 2000s. There appears to be a deepening trend in the model MLDs over the time period from 1991 to 2006, while the observations appear to have the opposite trend.

Because MLDs in the BATS region are mostly temperature dependent (this demonstrated in T dependence on MLD over seasonal time scales), it is useful to look at temperature independently of salinity to understand what might be causing this trend in our model results. More interannual varying SST data is available from satellite observations since the early 1990's. The Pathfinder program uses an Advanced Very High Resolution Radiometer (AVHRR) to measure the skin temperature (upper 1mm or less) of the ocean (Kilpatrick et al., 2001). The model dynamics, however, deal with a layer of the upper 10 m of the ocean. Since the skin temperature heats up much more rapidly than the upper 10 m during the exposure to daytime shortwave forcing, nighttime satellite measurements are ideal when trying to compare Pathfinder SST with the model SST. The Pathfinder data is useful because it provides SST variability from 1985 to the present. Even with nighttime data, however, one must be mindful that these measurements reflect the skin temperature only and not the temperature of a layer of water with any kind of considerable depth. To get around such issues, Reynolds and



Smith (1994) developed an algorithm to correct satellite data to account for temperature in the upper 10 m as opposed to that confined to the skin layer.

To look at the model's ability to capture SST at BATS, Figure 5 compares SSTs as measured by the BATS timeseries, Pathfinder nighttime skin temperature, the Reynolds algorithm, and model output. The Pathfinder and Reynolds measurements match those at BATS quite well, with some negative bias by Pathfinder for winter SST, which is consistent with the understanding of a thermally responsive nighttime skin temperature. This plot confirms that the model SSTs are too warm in the early 1990's and too cold in the end of the run, i.e. a decreasing trend. Since colder surface water helps to drive deeper mixing, these differences in SST probably are driving the anomalously deep MLDs in the model.

But what is causing this anomalous negative SST trend in our model? The net heating forcing ( $Q_{net}$ ) in the model has the most direct impact on surface temperature. Figure 6 shows the decreasing trend in annually-averaged  $Q_{net}$  (negative values indicate loss of heat from atmosphere forcing, thus cooling of ocean surface) in line with the cooling effect in SST ( $R=0.61$ ; lag-1 year  $R=0.66$ ). The change in  $Q_{net}$  from the early 1990's to the late 2000's amounts to about  $-23.8 \text{ W m}^{-2}$ . This decrease in  $Q_{net}$  acting over a column of water with depth 200 m for 5 years would result in a decrease in SST of  $4.4^\circ \text{ C}$ . The decrease in SST observed in the model at BATS over the same time period is roughly  $4.0^\circ \text{ C}$ .

Clearly the cooling trend in modeled SST is responding to a trend in the net heat flux forcing. For some reason however, this trend is not manifest in the observations, suggesting that the model is missing an important warming mechanism needed to

accurately model SSTs in the region around BATS by offsetting the cooling caused by the atmospheric forcing. The two mechanisms driving SST change at BATS are the net heating and any form of heat convergence from transport into the region. It is possible that the NCEP  $Q_{net}$  term is incorrect for this region, but it is more plausible that the model may not be capturing the heat convergence. This hypothesis is supported by the underestimate of recirculation/transport along the Gulf Stream north of Cape Hatteras (discussed above). Perhaps the act of restoring to an invariant WOA climatology along the southern boundary limits the variation in global meridional overturning circulation (Zhang et al., 2007), thus limiting the advection of warm equatorial water that would otherwise compensate for the atmospherically forced cooling. This as an important limitation in the model results that leads to a significant caveat in the findings of this thesis. Because of these discrepancies, our model is able to show the effect of the atmospheric forcing within the North Atlantic, but overall patterns in the real world may be damped by mechanisms not included in the model. Nevertheless, a better understanding of the links between the atmospheric forcings and the ocean is still useful information in understanding the overall picture in the ocean carbon cycle.

### **3.4 Carbon Cycle Climatology**

Since the focus of this thesis is ultimately on the carbon cycle, it is useful to compare surface  $pCO_2$  observations with the model output. As shown by Takahashi et al. (1993),  $pCO_2$  values can be separated into temperature-driven and non-temperature-driven components. Figure 7 compares modeled seasonal  $pCO_2$  differences with climatological observations (Takahashi et al., 2002) by showing the overall  $pCO_2$  and its temperature

and non-temperature components for model output and observations. The model is able to capture much of the spatial variability in the total  $p\text{CO}_2$  seasonality. The model shows the characteristic total seasonal  $p\text{CO}_2$  difference tri-pole pattern of similar magnitude as seen in the observed climatology. Despite the inaccuracies in mean SST related to potential issues of heat flux convergence the model, the model does particularly well in describing the climatological temperature component of the  $p\text{CO}_2$ . However, the model does not seem to fully capture the non-temperature component in the subtropics, due to underestimation of biological activity in these oligotrophic waters, a problem common to this type of model. Any inaccuracies in the overall  $p\text{CO}_2$  field are driven by discrepancies in the non-temperature component of the  $p\text{CO}_2$ , but despite these shortcomings, the model is doing reasonably well in capturing the mean seasonal cycle of  $p\text{CO}_2$  and its components (Bennington et al., in prep), a challenging task as illustrated by recent work in the North Pacific (McKinley et al., 2006).

Aside from a few limited timeseries data sets, these climatological observations are the only carbon data available for the global oceans. Complete verification of the carbon cycle is restricted because of the lack of variability data, but indeed, this is a key reason for modeling the carbon cycle.

### **3.5 BATS Carbon Cycle**

One timeseries for DIC and  $p\text{CO}_2$  has become available from 1983 through 2006 at Bermuda (Bates, 2007). The modeled  $p\text{CO}_2$  matches the observed timing of the seasonal cycle, but the modeled summer magnitudes overestimate observations for most of the timeseries (Figure 8a). These results are related to the temperature and DIC controls on

pCO<sub>2</sub>. As discussed in 3.3, modeled summer SSTs are too high for the time period of 1990 to 2000 (Figure 8b). After 2002, summer and winter SSTs are low with respect to observations. These aberrations in temperature have a direct impact on pCO<sub>2</sub> for 1990-2000, as summer pCO<sub>2</sub> is related to the elevated SSTs.

During 1990-2000, modeled DIC matches the timing of the seasonal cycle with some overestimation of summer DIC (Figure 8c), most likely related to the biological cycle (Bennington, in prep.). These differences in DIC summer magnitude combine with high SSTs to create a significant overestimate of summer pCO<sub>2</sub>. After 2002, mean model DIC is consistently higher for all seasons. This elevated DIC offsets the low SST during this period, resulting in a pCO<sub>2</sub> curve that matches observations. A similar relationship exists before 1990. The model appears to be correctly capturing the total pCO<sub>2</sub> variability, but it does so for the wrong reasons, with opposing inaccuracies in temperature and DIC.

## **4. TRENDS IN NORTH ATLANTIC CARBON CYCLE VARIABILITY**

### **4.1 General Variability in pCO<sub>2</sub> and Influencing Parameters**

As described in the introduction, the pCO<sub>2</sub> of the surface ocean is influenced by a number of factors. Many of these factors have opposing impacts on pCO<sub>2</sub>, such that an understanding of what drives the overall pCO<sub>2</sub> variability is far from straightforward. In order to better understand the interannual variability in the results, all of the data has been linearly detrended and deseasonalized (using a 12-month smoother). Such steps remove seasonal variability and any trends in the data, such as increases in SST or anthropogenic carbon. The remaining variability is considered the interannual variability in the system.

Although the CO<sub>2</sub> flux is most relevant for understanding the variability of the ocean as a carbon sink, this analysis will focus on pCO<sub>2</sub> as the carbon variable of choice because SST, DIC, and ALK directly impact pCO<sub>2</sub>. Aside from the difference in pCO<sub>2</sub> between the air and the sea, the only other parameter to impact the CO<sub>2</sub> flux is the square dependence of the wind speed/stress (Wanninkhof, 1992). However, other analyses have shown wind variability has a small impact on the flux variability and that the flux variability is mainly driven by patterns in pCO<sub>2</sub> variability (Takahashi et al., 2002; McKinley et al., 2006; Bates, 2007; Omar et al., 2007)

To assess the main modes of variability within the carbon system, a principal component analysis (PCA) was conducted on the pCO<sub>2</sub> and CO<sub>2</sub> flux output to determine the first empirical orthogonal function (EOF1) and associated principal component (PC1) over 1981-2006 (first year of variability removed as a shock year). The first EOFs of pCO<sub>2</sub> and CO<sub>2</sub> flux explain similar amounts of the overall variance (32% and 26%, respectively) and show similar spatial patterns (Figure 9a, b), suggesting that much of the flux variability is driven by similar variability in the pCO<sub>2</sub>. Therefore, the surface pCO<sub>2</sub> can be used as the main driver to describe the CO<sub>2</sub> flux. As seen from Figure 9c, this choice is acceptable as the main modes of temporal variability in pCO<sub>2</sub> and the CO<sub>2</sub> flux (i.e. the PC1s) are highly correlated ( $r=0.97$ ).

For an initial sense of the overall variability in the carbon cycle and to see what is driving this variability in a first order approximation, it is useful to look at the standard deviation of the surface pCO<sub>2</sub> and the variables effecting carbon chemistry in the surface ocean (SST, surface DIC, and ALK). As seen from the standard deviation of pCO<sub>2</sub> (Figure 10a), high variability is seen in the subtropical gyre, particularly centered at two

locations in the eastern and western sides of the basin along  $30^{\circ}\text{N}$ , with the highest variability located in the western subtropical gyre. Incidentally this bulls-eye of heightened variability is near BATS ( $31^{\circ}40''\text{N}$ ,  $64^{\circ}10''\text{W}$ ), suggesting the importance of this timeseries in understanding a location of high  $\text{pCO}_2$  variability in the North Atlantic. The eastern region of high  $\text{pCO}_2$  variability is roughly centered at  $10^{\circ}\text{N}$ ,  $25^{\circ}\text{W}$ .

These locations of high  $\text{pCO}_2$  variability appear to be collocated with regions of high SST variability (Figure 10b), suggesting the importance of temperature variability driving  $\text{pCO}_2$  variability in these regions. The center of moderately high  $\text{pCO}_2$  variability in the eastern subtropics (roughly  $30^{\circ}\text{N}$ ,  $25^{\circ}\text{W}$ ) is also collocated with enhanced variability in surface ALK (Figure 10d). Since temperature and ALK affect  $\text{pCO}_2$  in opposing directions, the ALK variability appears to damp the effect of SST variability on the overall  $\text{pCO}_2$  variability at this location.

There is also high variability in the surface DIC and ALK fields (Figures 10c and 10d) in the western tropics (roughly  $15^{\circ}\text{N}$ ,  $60^{\circ}\text{W}$ ) and near the Grand Banks ( $45^{\circ}\text{N}$ ,  $50^{\circ}\text{W}$ ). This high variability, however, does not appear to manifest in the overall  $\text{pCO}_2$  variability. As discussed in the introduction, DIC and ALK also have opposing impacts on  $\text{pCO}_2$ , and the locations of high standard deviation in DIC and ALK are collocated, suggesting that the effects of large DIC and ALK variability on overall  $\text{pCO}_2$  variability have a canceling effect on each other.

## 4.2 Temperature versus Dynamical Controls on Surface $\text{pCO}_2$

By correlating various surface parameters in the North Atlantic from model output, some general trends in the carbon cycle's response to ocean physics become apparent.

Following the argument of LeQuéré et al. (2003), the two major physical controls on surface ocean  $p\text{CO}_2$  are temperature and dynamical mixing. For example, increased mixing brings older DIC-rich waters to the surface to drive an increase in  $p\text{CO}_2$ , but this increased mixing is also related to lower SST, driving a decrease in the  $p\text{CO}_2$ . Much of  $p\text{CO}_2$  variability is dominated by one or the other of these controls (temperature vs. dynamics), as can be seen from the correlation of  $p\text{CO}_2$  and SST (Figure 11a). Regions exhibiting a negative correlation between  $p\text{CO}_2$  and SST are considered “dynamics-dominated,” such that a decrease in SST drives an increase in mixing, bringing more DIC to the surface, and thus increasing the  $p\text{CO}_2$ . Regions exhibiting a positive correlation are “temperature-dominated,” such that an increase in SST directly forces an increase in  $p\text{CO}_2$  through the thermodynamic controls on gases in seawater. Regions exhibiting zero correlation are neither temperature- nor dynamics-dominant. As seen in Figure 11a, the dynamics-driven controls on  $p\text{CO}_2$  are confined to the subpolar gyre, and temperature-driven controls dominate the entire basin south of  $45^\circ\text{N}$  (consistent with the findings of LeQuéré et al., 2003).

That the carbon cycle is driven by dynamics in the subpolar gyre is consistent with the deep mixing of this region (see MLD plots in Figure 3). Subpolar SST cooling drives mixing that is much deeper than in much of the rest of the North Atlantic, driving the upwelling of DIC-rich waters to alter  $p\text{CO}_2$  more than by the gas solubility effects due to changes in temperature. Figure 11b shows that the subpolar  $p\text{CO}_2$  is indeed positively correlated with deeper mixing, and this mixing draws increased DIC to the upper 100m. South of  $45^\circ\text{N}$ , temperature dominates the surface  $p\text{CO}_2$ , resulting in a negative correlation between  $p\text{CO}_2$  and DIC (Figure 11c) that would otherwise be

counterintuitive. Finally, the correlation between the Air-Sea CO<sub>2</sub> flux and MLD show that changes in mixing dominate the CO<sub>2</sub> flux in the subpolar gyre, but the subtropical CO<sub>2</sub> flux is driven by opposing temperature effect (Figure 11d). (Note: comparing Figures 11b and 11d also show the strong negative correlation between CO<sub>2</sub> Flux and surface pCO<sub>2</sub>).

### 4.3 Mixing and the Vertical Supply of DIC

In general, deeper mixing brings more DIC to the surface, and thus MLD is typically positively correlated with the vertical supply of DIC. In the real world, it can be difficult to measure the vertical supply of DIC, but the model is useful because it can calculate this term at each time step as the amount of DIC that is transported vertically between grid cells.

Upon looking at the correlation between MLD and the DIC vertical supply (Figure 12a), the relationship between the two parameters is not so straightforward. Instead of the correlation being strongly positive across the entire basin, there are localized regions that show a negative correlation (south of 15°N and eastern subtropical gyre centered at 25°N, 40°W). Depth profiles of DIC for winter and summer months (Figures 12c and 12d) show that unlike other locations in the ocean where DIC is at a minimum within the mixed layer, the eastern subtropical gyre location (green profile) shows a negative vertical gradient of DIC in the surface layer above 100m (particularly strong in summer), such that deeper mixing taps into lower concentrations of DIC, reducing the overall concentration of DIC vertically supplied to the surface, thereby driving the negative correlation between MLD and DIC vertical supply. This profile



seems to indicate heightened productivity and uptake of DIC below the immediate surface layers related to the existence of a subsurface chlorophyll maximum, common in subtropical waters (Mann & Lazier, 1996).

The region south of 15°N, however, does not show the same DIC minimum at depth to suggest the same mechanism of the negative correlation between MLD and DIC vertical supply (black profile in Figures 12c and 12d). Instead, this negative correlation appears to be related to the vertical velocity of this region (Figure 12b). Vertical velocity is highly correlated with DIC vertical supply, suggesting that the vertical velocity drives the vertical supply of DIC more than the mixing. In this region, vertical transport supplies DIC to the surface layer while pushing denser waters upward, causing an increase in the stratification of surface waters and a shoaling of the MLD (upwelling prohibits mixing). In this way, MLDs are negatively correlated with DIC vertical supply.

This regional analysis of the relationship between MLD and DIC vertical supply shows that values of subsurface parameters can have a profound impact on surface carbon chemistry when using a time-varying 3-D model. A 2-D box model, for instance may only consider one type of DIC vertical profile, leading to the basic understanding of a DIC minimum at the surface. This model, however, helps to demonstrate that more complicated states of DIC vertical distribution can indeed exist.

While the strong negative correlation between MLD and DIC vertical supply exists in the eastern subtropical gyre, however, the effect of this subsurface chlorophyll maximum on the total carbon cycle of the region is small. The negative gradient in DIC is consistent such that it drives the negative correlation, but it is small in magnitude, with the summer DIC subsurface minimum only 10 mmol/m<sup>3</sup> less than surface values

(pertaining to a percent difference in DIC concentration of less than 0.5%). Similarly, MLDs in this region and areas south of 15°N are consistently small throughout the year (Figure 3), such that upwelling-induced changes in the MLD do not cause a great impact in the depth of mixing. Overall, the existence of these negative correlations between MLD and DIC vertical supply has a small effect on the overall CO<sub>2</sub> flux variability of the basin.

## 5. CARBON CYCLE RESPONSE TO CLIMATE VARIABILITY

### 5.1 Calculation of pCO<sub>2</sub> Components

As described by Takahashi et al. (1993), pCO<sub>2</sub> of the surface ocean can be separated into influences from DIC, Temperature (T), ALK, Salinity (S), Phosphate (PO<sub>4</sub>), and Silicate (SIL) according to the following equation:

$$\frac{dpCO_2}{dt} = \frac{\partial pCO_2}{\partial DIC} \frac{dDIC}{dt} + \frac{\partial pCO_2}{\partial SST} \frac{dSST}{dt} + \frac{\partial pCO_2}{\partial ALK} \frac{dALK}{dt} + \frac{\partial pCO_2}{\partial S} \frac{dS}{dt} + \frac{\partial pCO_2}{\partial PO_4} \frac{dPO_4}{dt} + \frac{\partial pCO_2}{\partial SIL} \frac{dSIL}{dt}$$

Each component was estimated by calculating the pCO<sub>2</sub> with the carbonate chemistry equilibrium constants of the model (Follows et al., 2006), using the detrended and deseasonalized variability of the component of interest and setting the values of the remaining parameters to their long-term means (LeQuéré et al., 2003; McKinley et al., 2004, 2006). The sum of each of the components matches reasonably well with the total pCO<sub>2</sub>. An attempt to conduct this decomposition using monthly output did not match well with the overall pCO<sub>2</sub> because of the intramonthly variability lost in the monthly averaging. Therefore, daily output was used in the decomposition.

## 5.2 Regression of pCO<sub>2</sub> Components onto the NAO

Because the variability of each component only includes the variability from the parameter of interest, the overall magnitudes of variability can only be compared if regressed onto a common index of variability. As discussed in the introduction, the main mode of climate variability over the North Atlantic is the North Atlantic Oscillation. This thesis uses the monthly-mean NAO index, operationally defined as the difference between surface pressures of the Azores high-pressure center and the Icelandic low-pressure center. This data is provided from 1950 to the present from the Climate Prediction Center ([http://www.cpc.noaa.gov/products/precip/CWlink/pna/nao\\_index.html](http://www.cpc.noaa.gov/products/precip/CWlink/pna/nao_index.html)). The NAO is moderately correlated with the PC1 of the pCO<sub>2</sub> ( $r=0.41$ ), suggesting that the NAO has a significant relationship to the carbon dynamics in the North Atlantic.

Following the method in McKinley et al. (2006), each component was regressed onto the NAO index for overall comparison. The influences of salinity, phosphate, and silicate on the pCO<sub>2</sub> were found to be marginally significant for overall pCO<sub>2</sub> variability and are therefore excluded from subsequent analysis. Figure 13 shows the regression of pCO<sub>2</sub> and the SST, DIC, and ALK components onto the NAO. The overall pCO<sub>2</sub> regression shows a similar “tripole” pattern traditionally associated with the NAO (Hurrell and Dickson, 2004). Compared to each of the components, the total pCO<sub>2</sub> shows relatively low variability associated with variability in the NAO. Each of the components, however, shows localized centers of high variability influenced by the NAO, but these centers partially cancel each other out in the overall pCO<sub>2</sub>. In the subpolar gyre, the NAO seems to drive a significant increase in pCO<sub>2</sub> variability due to

DIC, but this is balanced by a decrease in  $p\text{CO}_2$  due to SST. Overall, the variability in total  $p\text{CO}_2$  with respect to the NAO is small in the subpolar gyre. Similarly in the eastern subtropics, the variability of the SST component associated with NAO variability is balanced by that of the ALK component. The positive signal from the SST component seems to dominate in the overall  $p\text{CO}_2$  regression, but the SST component is partially compensated by the ALK component.

### **5.3 Regression of $p\text{CO}_2$ Components onto the El Nino Southern Oscillation Index**

Another dominant index of global climate variability is El Nino-Southern Oscillation (ENSO). Numerous studies have shown some teleconnection impact of ENSO on the climate over the North Atlantic particularly in the tropical and subtropical regions (Bates, 2001; Gouirand et al., 2007; Huang et al., 1998). Regression of  $p\text{CO}_2$  and its major components onto the Multivariate ENSO Index (Wolter and Timlin, 1993, 1998), however, does not produce any patterns with significant amplitudes in this model, aside from some small influences in the equatorial (Figure 14). This suggests that ENSO is only marginally important in the carbon cycle of the North Atlantic. Further analysis in this thesis will focus on the NAO as the main index of climate variability in the North Atlantic.

### **5.4 Mechanisms driving $p\text{CO}_2$ variability related to the NAO**

As discussed in the introduction, the NAO impacts pressure, winds, temperature, and precipitation, all of which impact the surface  $p\text{CO}_2$  and  $\text{CO}_2$  flux. So, the mechanisms of variability in the NAO may be important drivers on the carbon system. From this

model's results, the two important regions of high  $p\text{CO}_2$  component variability are in the subpolar gyre (where  $p\text{CO}_2$ -SST and  $p\text{CO}_2$ -DIC dominate the carbon system and balance each other) and in the eastern subtropical gyre (where  $p\text{CO}_2$ -SST and  $p\text{CO}_2$ -ALK dominate and balance).

In the subpolar gyre,  $p\text{CO}_2$ -SST and  $p\text{CO}_2$ -DIC variability dominate overall  $p\text{CO}_2$  variability related to the NAO. As discussed in section 3.2, this is a region where dynamics dominates the carbon system. This mixing is driven by changes in temperature related to the net heat flux. Variability of the net heat flux forcing used in the model is strongly related to NAO variability in this region (Figure 15a). Thus, the mechanism for carbon cycle variability in the subpolar gyre is as follows: (1) changes in the net heat flux of the model are in part driven by changes in the NAO; (2) These changes in the heat flux drive changes in the temperature of the surface waters, which has a direct impact on the  $p\text{CO}_2$ -SST component of this region; (3) Because this region is dynamics-dominated, the changes in temperature also drive changes in the mixing and surface supply of DIC from depth, which controls the total  $p\text{CO}_2$  response. This temperature-driven mixing and supply of DIC is evident in the  $p\text{CO}_2$ -DIC. Due to the sign in the overall  $p\text{CO}_2$  regression matching that of the  $p\text{CO}_2$ -DIC regression in this subpolar region, the supply of DIC is clearly dominating the overall  $p\text{CO}_2$ .

The forces driving  $p\text{CO}_2$ -SST and  $p\text{CO}_2$ -ALK in the eastern subtropics, however, are a bit more complex because the mechanisms driving these two components are different. Although the signal is not quite as strong as in the subpolar region,  $p\text{CO}_2$ -SST is still driven by changes in  $Q_{\text{net}}$  in the eastern subtropics (Figure 15a), suggesting a similar mechanism driving the  $p\text{CO}_2$ -SST component as seen in the subpolar gyre.

ALK is not affected by temperature, but freshwater input/removal from changes in evaporation and precipitation related to variability in the NAO can drive ALK variability. To confirm that freshwater variability is indeed the mechanism driving subtropical ALK variability, Figure 15b shows that surface salinity variability also exhibits a similar spatial structure when regressed onto the NAO. Indeed, freshwater climate forcing has a strong impact on the surface ocean in this region. In a study of the ocean precipitation and the NAO using the same NCEP forcings as this model, Mariotti and Arkin (2007) find a significant negative correlation between precipitation and the winter (December, January, February) NAO index in the eastern sub-tropical North Atlantic in a region, spatially coincident with the structures seen in the regressions of model  $p\text{CO}_2$ -ALK and SSS onto the NAO. Thus, the following mechanism is inferred: increases in the NAO index drive a decrease in precipitation over the ocean; this decrease in surface freshwater input increases ALK, which increases the concentration of  $\text{HCO}_3^-$  and  $\text{CO}_3^{2-}$ , decreasing the concentration of  $\text{H}_2\text{CO}_3^*$ , causing a decrease in  $p\text{CO}_2$ .

Because the NAO index is defined as the difference between point-location sea level pressure measurements at the Icelandic Low and the Azores High (Hurrell & Dickson, 2004), it would seem plausible that the locations of high correlation between  $p\text{CO}_2$  components and the NAO index would be a function of the location of the NAO measurements (i.e. highest correlations at the Icelandic Low and Azores High measurements). Not surprisingly, those locations closest to the NAO measurement locations should be highly correlated. But does this mean that the relationships discussed above are not physical and only have to do with point location effects? Indeed, regions of high correlation between  $p\text{CO}_2$  components and the NAO are nearly spatially

coincident with measurement locations, especially the eastern subtropical gyre region, which is centered nearly on top of the Azores, with correlation tapering off evenly away from the center. There is less prominent a “bull’s-eye” in the subpolar region, suggesting that the relationships are more due to regional influences of the NAO on climate forcing than due to point-location effects.

The subtropical “bull’s-eye” is more prominent suggesting that point-location effects may be an issue. However, as discussed in section 3.1, this region is also a center of high overall variability for SST and surface ALK (Figures 10b & 10d), the two variables of interest in this region. Thus, while point effects may be an issue, the high variability of this region suggests that the strong signals relating  $p\text{CO}_2$ -SST and  $p\text{CO}_2$ -ALK variability to the NAO are robust.

There is some evidence for regional NAO effects on carbon variability, particularly in the subpolar region, but point-location effects in the subtropics suggest that the NAO index is not perfect and does not always explain basin-wide variability. Bakalian et al. (2007) suggest that the Icelandic Low and Azores High are not stationary features, but exhibit considerable north-south displacement. The current convention used to define the NAO index does not include such displacement. As shown in this discussion on the possibility of point-locations effects, the displacement of the index points may be important when considering basin-wide  $p\text{CO}_2$  variability.

## **5.5 Other Modes of Variability and EOF analysis**

So far the overall  $p\text{CO}_2$  variability has been explained in the subpolar and subtropical regions as a balance between  $p\text{CO}_2$  components. A closer look at the regression of the

total  $p\text{CO}_2$  onto the NAO yields a local maximum at the interface between the subpolar and subtropical gyres, near the Grand Banks (Figure 13a). On first analysis, this minimum appears to be due to an unbalanced  $p\text{CO}_2$ -SST component that may be dominating the variability of this region, but as discussed above, the NAO only moderately correlates with the first mode of variability in the model (PC1). Could there be other modes of variability within the model results that account for this structure or other structures more dominant than what is seen with respect to the NAO?

Using PC1 as the main mode of temporal variability in the data, each of the dominant  $p\text{CO}_2$  components was regressed onto PC1 (Figure 16). These regressions result in a pattern of variability with different regions of dominance and greater amplitude. The overall magnitude of these regressions is larger than that seen in the NAO regression. Values in the regression onto the PC1 range from below  $-20$  to nearly  $20 \mu\text{atm}/1\sigma \text{ PC1}$  are greater than values in the regression onto the NAO ranging from about  $-10$  to  $15 \mu\text{atm}/1\sigma \text{ NAO}$ , suggesting that the PC1 regressions are more robust features.

Similar to the NAO regressions, the total  $p\text{CO}_2$  regression shows spatial variability in a tripole pattern with centers of variability in the subpolar region, near the Grand Banks, and in the subtropical region (a fourth center of variability could also be considered south of  $15^\circ\text{N}$ , somewhat seen in the NAO regressions as well). Also like the NAO regressions, the total  $p\text{CO}_2$  shows relatively low variability compared to each of the components, such that opposing components show regions of high variability that partially cancel each other out in the overall  $p\text{CO}_2$ .



The spatial arrangement of  $p\text{CO}_2$  component variability is different from that seen in the NAO regressions, particularly in the subpolar gyre where there is little to no signal of balancing variability between  $p\text{CO}_2$ -DIC and  $p\text{CO}_2$ -SST. Most variability in the components is confined to the subtropical gyre south of  $50^\circ\text{N}$ . However, because the EOF is finding the first mode of area-weighted variability, the variability in the subtropical gyre should stand out due to the larger area of grid cells at lower latitudes. Similarly, the NAO should have a larger impact on subpolar components because of the index's definition (discussed above). The correlation between the NAO index and PC1 is only 0.41, and these subpolar distinctions may drive much of the difference in the indices.

Overall  $p\text{CO}_2$  variability is mostly dominated by the  $p\text{CO}_2$ -SST component, with high variability in the eastern subtropical gyre and along the eastern U.S. The  $p\text{CO}_2$ -SST component is strongly balanced by the  $p\text{CO}_2$ -DIC component with a spatial pattern similar to that of the  $p\text{CO}_2$ -SST. The eastern subtropical variability maximum in the  $p\text{CO}_2$ -SST is also balanced by a local maximum in  $p\text{CO}_2$ -ALK, similar to the pattern in the NAO regression, suggesting that a freshwater forcing is a robust signal in the overall climate forcing and carbon cycle response at this location.

One location where total  $p\text{CO}_2$  variability is not dominated by the  $p\text{CO}_2$ -SST component is near the Grand Banks (location centered at  $45^\circ\text{N}$ ,  $30^\circ\text{W}$ ). Here,  $p\text{CO}_2$ -SST variability is insignificant, but  $p\text{CO}_2$ -DIC variability is moderately strong, dominating the overall  $p\text{CO}_2$  variability. This region, however, is located right at the interface between the temperature-dominant and dynamics-dominant regions, such that neither temperature nor mixing changes can serve as a mechanism to explain the  $p\text{CO}_2$  variability of this

region. The shape of the  $p\text{CO}_2$ -DIC regression does seem to indicate some amount of horizontal advection of DIC following the location of the Gulf Stream with high values of  $p\text{CO}_2$ -DIC along the eastern U.S. slowly decreasing to the northeast. Similar to the model parameter of DIC vertical supply discussed in section 3.3, the model is also able to output the horizontal supply of DIC as the size of the flux of DIC between lateral grid cells. Regression of this term onto the PC1 (Figure 17) shows that the DIC horizontal supply in this region is strongly related to changes in the PC1. Clearly changes in the  $p\text{CO}_2$  related to the PC1 near the Grand Banks are driven by variability in the horizontal supply of DIC to this region. In addition, changes in  $p\text{CO}_2$  and DIC concentrations in the top 100m are strongly correlated with the horizontal supply at this region of interest (Figure 18), further suggesting that variability in horizontal transport drives much of the carbon dynamics of this region.

Despite issues discussed in section 2.7 about introduced trends in the data related to a lack of horizontal transport from the south, this horizontal supply of DIC to the Grand Banks does relate well to recent research and observational reports. The increasing trend in the detrended  $p\text{CO}_2$  PC1 from 1995-2006 (Figure 9c) means that the patterns seen in the regression plots have intensified during this period, suggesting that the horizontal supply of DIC to the Grand Banks has increased. Hatun et al. (2005) show that subpolar gyre deceleration is accompanied by stronger advection of subtropical waters by North Atlantic Current extension. Autonomous glider data (Hakkinen & Rhines, 2007) have verified this increase in advection of subtropical waters. The trend in the PC1 also seems to match the decrease in sea-surface-height-derived geostrophic velocity (satellite data) in the subpolar gyre since 1994 (Hakkinen & Rhines, 2004).

Therefore, the model results support the notion of an increase in DIC horizontal supply to the Grand Banks region, potentially from the stronger advection of subtropical waters, due to a weakening of the subpolar gyre.

Assuming that this trend in the North Atlantic is real (as verified by this thesis and other sources), it is important in understanding the carbon system as such changes in horizontal advection of DIC may confound other carbon findings in the North Atlantic. For instance, Schuster & Watson (2007) report on volunteer ship track data between the Caribbean and the U.K. (a useful dataset that spans the regions of interest with respect to increased horizontal supply of DIC). They report on a decreased sink of atmospheric CO<sub>2</sub> through an increase of 21  $\mu\text{atm}$  in surface pCO<sub>2</sub> from 1994/95 to 2002/2005. Certainly there has been an increase in atmospheric CO<sub>2</sub> during this time period, and such an increase will drive more CO<sub>2</sub> into the surface ocean, limited by the buffering capacity of seawater (i.e. the Revelle Factor). The results of this thesis, however, show that some of this regional increase in surface pCO<sub>2</sub> may be attributable to enhanced horizontal transport of DIC from the subtropics. This example is highlighted to stress that the ocean carbon cycle is a four dimensional entity (time included) and that limiting this view to two dimensions may be drastically oversimplifying the system, such that important shifts in the dynamical transport may be improperly neglected.

## **6. SUMMARY AND CONCLUSIONS**

### **6.1 Summary**

The main goal of this thesis was to develop a better understanding of the mechanisms that drive carbon cycle variability in the North Atlantic. Results show that high interannual

variability in  $p\text{CO}_2$ , SST, DIC, and ALK is mainly confined to the subtropics. This is a region where temperature effects dominate  $p\text{CO}_2$  variability. Subpolar gyre  $p\text{CO}_2$ , however, is dominated by variability related to dynamics, where changes in temperature control the deep mixing and upwelling of DIC.

Overall  $p\text{CO}_2$  variability is constrained through opposing effects related to SST, DIC, and ALK. Such a “balancing act” of  $p\text{CO}_2$  components and their variability has been shown here in regression onto the NAO index and the PC1 of the  $p\text{CO}_2$ . SST is important in subtropical waters where the  $p\text{CO}_2$  variability is “temperature-dominated.” SST is also important in subpolar NAO-driven  $p\text{CO}_2$  variability in that it is a major driver for mixing variability in this region. DIC impacts on  $p\text{CO}_2$  variability are also seen throughout much of the basin, with strong DIC variability related to horizontal advection in the subtropics and mixing in the subpolar gyre. ALK impacts on  $p\text{CO}_2$  variability seem to be confined to the eastern subtropical gyre, where freshwater/evaporation influences are particularly strong. The influences of ALK variability on overall  $p\text{CO}_2$  are balanced by SST variability in this region.

## **6.2 Total Basin $\text{CO}_2$ Flux Variability**

The results of this thesis have focused on regional mechanisms driving carbon cycle variability, but how does all this fit together in potential impacts on the global flux variability? As discussed in section 1.2, one of the debates in North Atlantic carbon cycle research relates to estimating the total basin-wide flux variability. The basin wide  $\text{CO}_2$  flux interannual variability magnitude was calculated by finding the root mean

squared (RMS) of the area-weighted sum of the detrended and smoothed (12-month) flux field. RMS results for various bands of latitude range are displayed in Table 2.

**Table 2: Basin wide area-weighted sum of CO<sub>2</sub> flux interannual variability RMS.**

LOCATION	LATITUDE RANGE	RMS (Pg C yr <sup>-1</sup> )
Subpolar	50N – 80N	0.01
Subtropical	14N – 50N	0.03
Tropical	-14N – 14N	0.02
Subpolar + Subtropical	14N – 80N	0.03
Total North Atlantic	-14N – 80N	0.05

These results are in line with previous forward model estimates (McKinley et al., 2004; Obata and Kitamura, 2003; Le Quéré et al., 2000). The total North Atlantic variability is small relative to global flux variability, suggesting that the North Atlantic does not play a substantial role on the global flux variability. These findings further contradict the extrapolation estimates of Gruber et al. (2002) and inverse modeling results (Bousquet et al., 2000) that put the North Atlantic flux variability near  $\pm 0.3$  Pg C yr<sup>-1</sup>. Much work was put into the setup of the model used in this thesis to maximize variability (turning off relaxation terms), yet overall flux variability is still an order of magnitude smaller than extrapolated and inverse modeled estimates. While this model does not capture the physical variability due to mesoscale eddies and boundary conditions, the flux variability found in this thesis and in other forward model results in the North Atlantic suggests that it is insignificant in the global flux variability.

### 6.3 Future Work

This thesis has produced some interesting results with regard to the carbon cycle mechanisms in the North Atlantic and their response to climate variability, but the work is not done. As discussed in the introduction, these results are limited by a lack of heat flux convergence into the western subtropics, resulting in a climate-forced cooling trend not manifested in observations due to reduced simulated southerly flow supplying warm water to this region. Future work with this model should look to improve the temperature discrepancies by finding ways to enhance the transport and modify the temperature relaxation techniques.

One idea to improve the circulation along the southern boundary would be to create an open boundary (the run in this thesis used a closed southern boundary with sponge layer), using velocity fields from output of another high-resolution general circulation model not limited to the North Atlantic. One possibility would be to use output from the Estimating the Circulation & Climate of the Ocean (ECCO) Project. ECCO combines a general circulation model (the same model as used in this thesis) with observational and remote sensing data to produce a high-resolution representation of the ocean state through time (<http://www.ecco-group.org/>). Velocity fields along the southern boundary can be forced by ECCO output in hopes of producing a circulation state that is more like reality.

The other way to improve the issues with subtropical SSTs will be to find ways to enhance the heat forcing and relaxation directly. The ECCO project also provides adjusted net heat flux fields that incorporate ocean observational data. Preliminary results using this data show that there is less of a subtropical SST cooling trend from the

early 1990's to the 2000's (via correspondence with Dr. Stephanie Dutkiewicz at MIT). This seems to suggest that the NCEP heat flux forcing used in this thesis may not be entirely correct, and the use of ECCO heat flux forcings may be a step in the right direction.

Another opportunity for improvement in the model may come in finding ways to modify the relaxation techniques. Indeed, T and S relaxation is necessary for model stability, but it removes much of the interannual variability in the model. A limitation in the model setup of this thesis is that T and S were relaxed to climatological values. WOA climatology is certainly the only data available for the ocean basin, but perhaps satellite SST data can be assimilated to create time-varying relaxation fields (at least for the surface layer). This may limit the variability that is lost through relaxation to climatology.

All of this work supports the need for more observational data to constrain ocean carbon models. Certainly, the timeseries data available from BATS has been invaluable in this thesis for model validation and understanding limitations in the results. Much this work has shown that BATS is an apt location for studying carbon cycle variability in the North Atlantic, as it is situated in a region of high  $p\text{CO}_2$  variability (Figure 10a), such that understanding the long term trends at BATS may help to better understand basin-wide subtropical variability. Another useful timeseries is the ESTOC station (European Time Series in the Canary Islands) (Santana-Casiano et al., 2003, 2007). As shown in this thesis, the eastern subtropical gyre is a region of high ALK- and T-driven  $p\text{CO}_2$  variability, and ESTOC is conveniently situated near this center of action. Data from this

timeseries was unavailable for use in this thesis, but the importance of this region in understanding pCO<sub>2</sub>-component variability may spur future collaboration.



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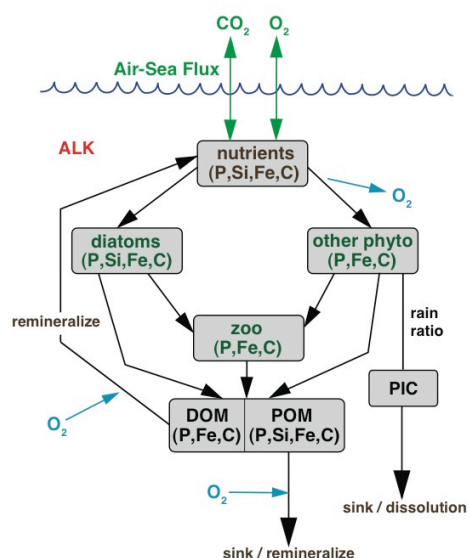
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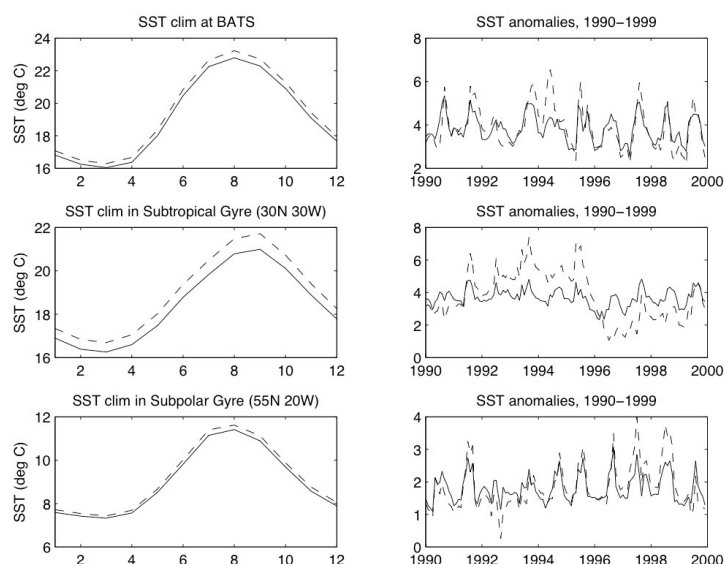
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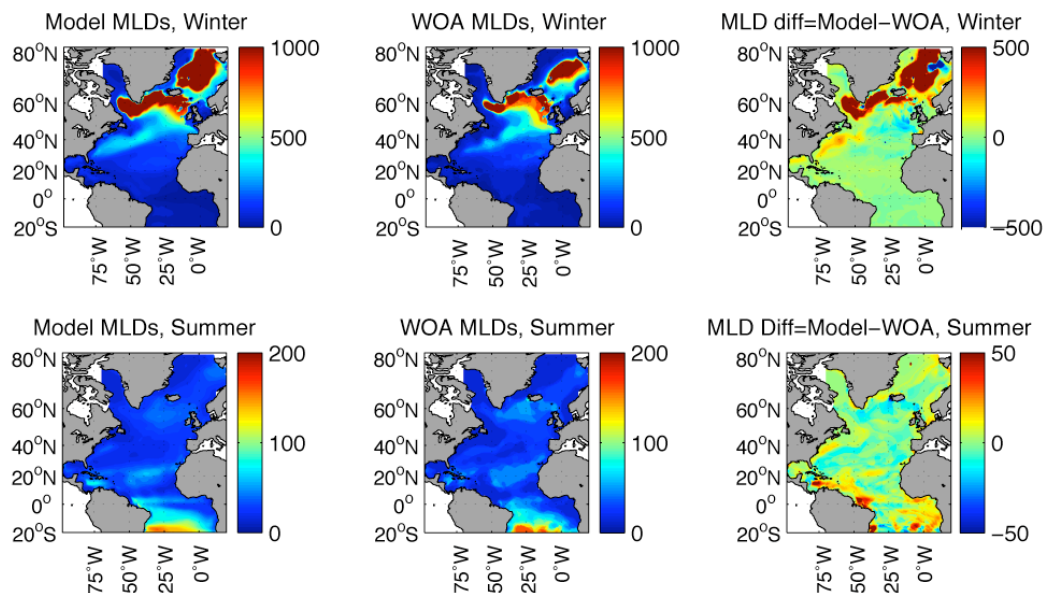
## FIGURES



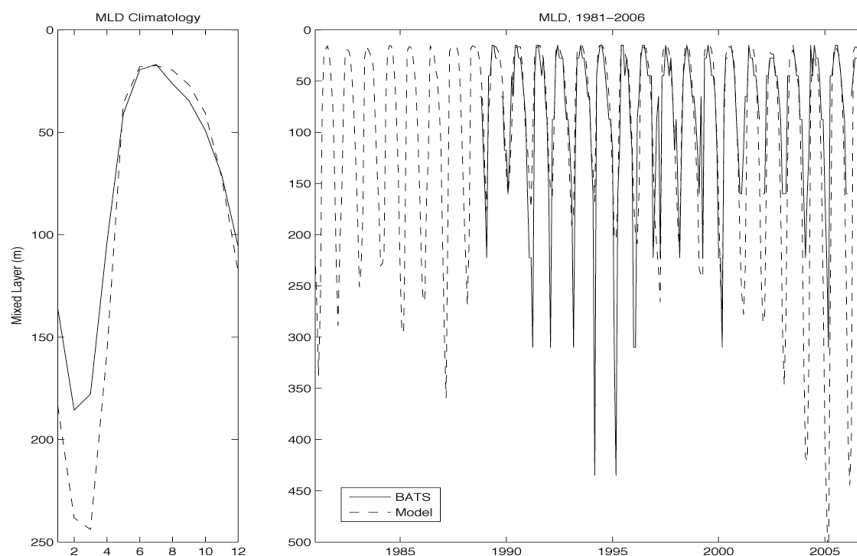
**Figure 1.** Schematic of ecosystem model from Dutkiewicz et al. (2005) showing the cycling of nutrients (Fe, P, Si) within two classes of phytoplankton, one class of zooplankton, and the two pools of dissolved organic matter (DOM) and particulate organic matter (POM). The model includes both nutrient (P, Fe, Si) and carbon cycling.



**Figure 2.** Comparison of SST climatology and SST anomalies (relative to climatology) for a ten-year test run with active relaxation back to observations turned on (solid line) and climatological relaxation terms incorporated into the forcing (dashed line). At 3 separate locations: Bermuda (BATS), Eastern Subtropical Gyre, and Subpolar Gyre. Plots show a minor difference in climatology, but considerable increase in variability as seen from the anomalies.

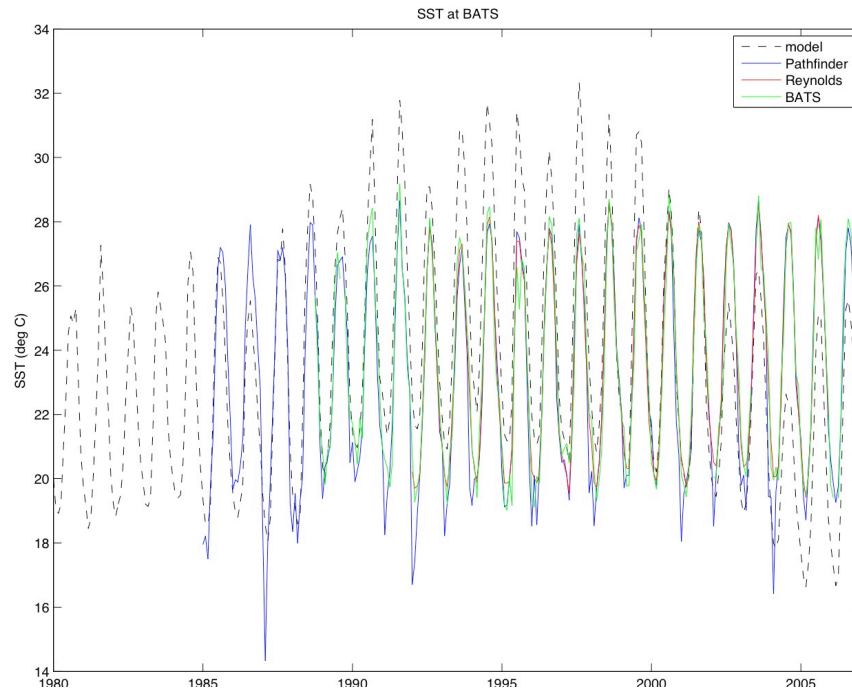


**Figure 3.** Comparison of seasonal mixed-layer depths for model output and WOA climatology. Winter season constitutes the mean of values in December, January, and February. Summer season constitutes the mean of July, August, and September. The two plots on the far right show the difference between the model output and the observations. Due to deeper MLDs in the winter, please note the difference in colorbar limits.

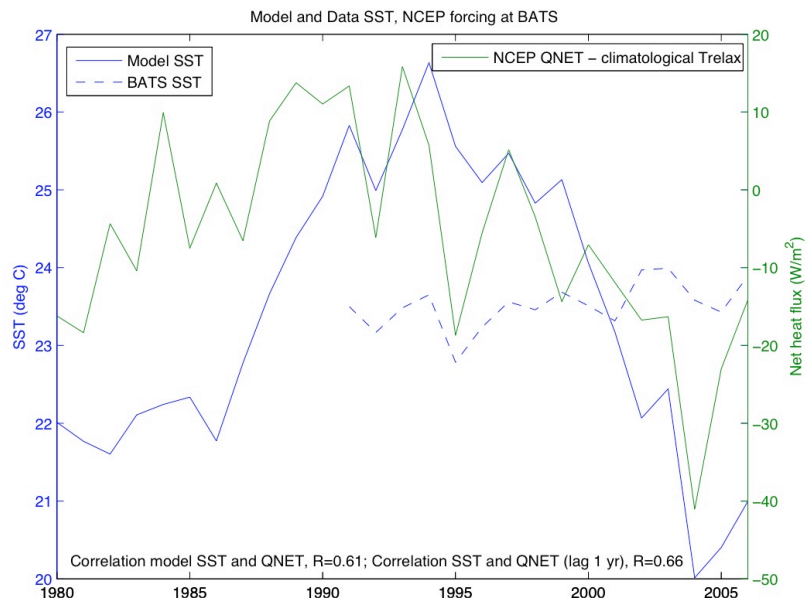


**Figure 4.** Observed (solid line) and Modeled (dashed line) mixed layer depths at BATS. The left plot shows the mean seasonal cycle and the right plot shows the variability for 1981-2006.

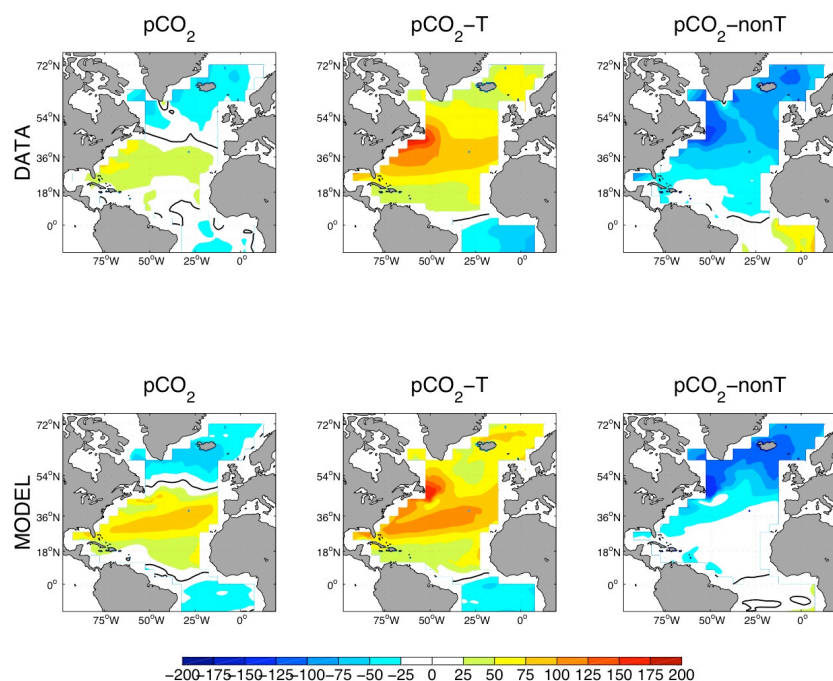




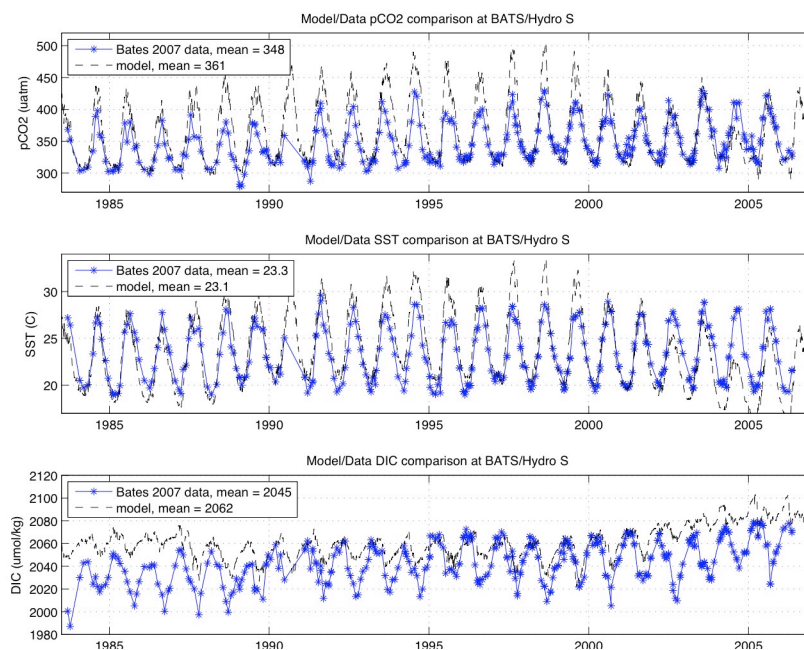
**Figure 5.** SST from satellite observations (Pathfinder and Reynolds), in situ measurements (BATS), and model output. There is good agreement among satellite estimates and in situ measurements but significant discrepancy between model and data.



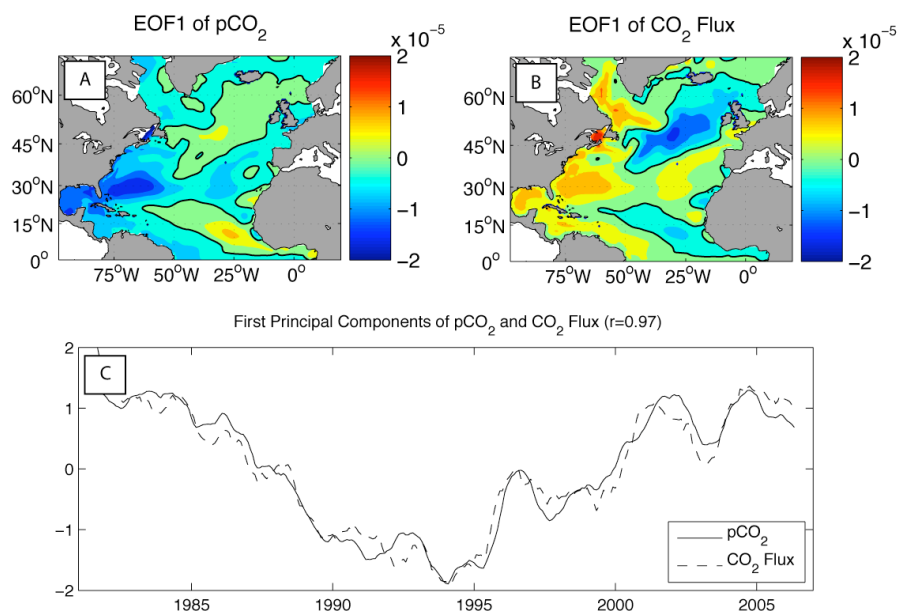
**Figure 6.** Model and data SST plotted on left axis, net heat flux on right axis. High correlation between model SST and Qnet forcing shows that model is impacted more by net heating than what is seen in the observations.



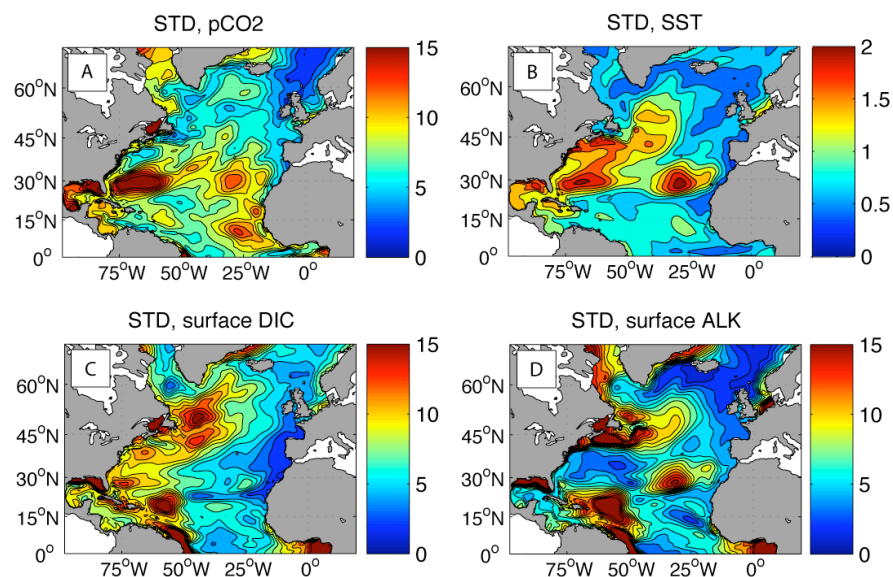
**Figure 7.** Seasonal cycle of pCO<sub>2</sub> and its temperature and non-temperature components for observed climatological data (Takahashi, 2002) and model output. Calculated by subtracting the mean winter (FMA) pCO<sub>2</sub> values from the mean summer (ASO) values (seasonal average uses 3-month mean). Positive values indicate regions where summer pCO<sub>2</sub> exceeds winter pCO<sub>2</sub>. (From Bennington et al., in prep.)



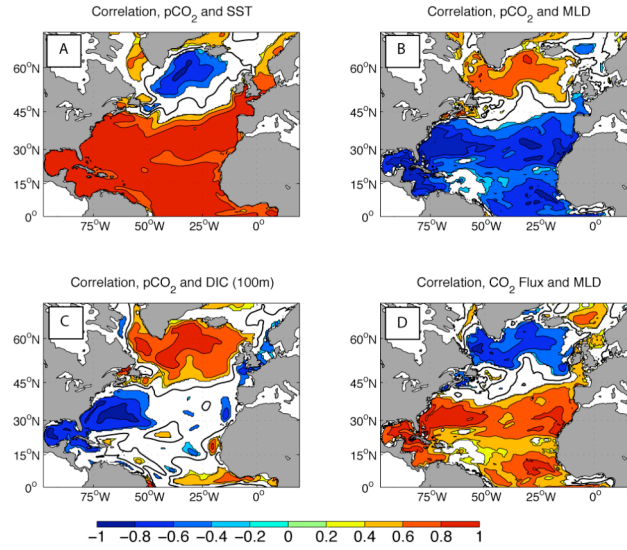
**Figure 8.** Comparison of (a) pCO<sub>2</sub>, (b) SST, and (b) DIC between model results and observations at the Bermuda Atlantic Time-series Study (BATS)/Hydrostation S from 1983 through 2006 (Bates, 2007).



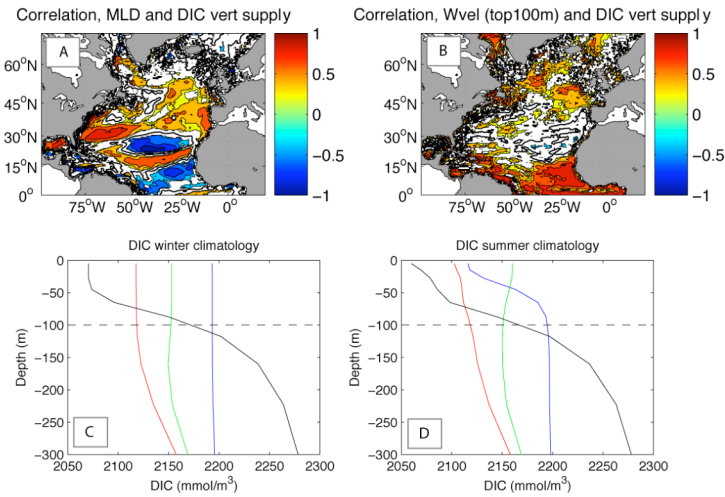
**Figure 9.** EOF Analysis of detrended  $p\text{CO}_2$  and  $\text{CO}_2$  flux smoothed over 12 months, calculated using output from 1981-2006. (a) First EOF of  $p\text{CO}_2$  (explaining 32% overall variance). (b) First EOF of  $\text{CO}_2$  Flux (explaining 26% overall variance). (c) First standardized PCs corresponding to EOFs. The two PCs are highly correlated ( $r=0.97$ ), suggesting that nearly all the variability in the air-sea flux of  $\text{CO}_2$  is driven by the surface  $p\text{CO}_2$ .



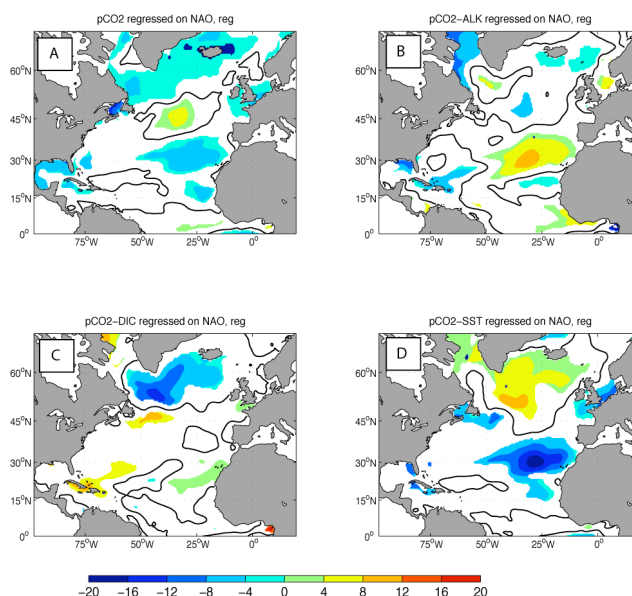
**Figure 10.** Standard deviation of: (a)  $p\text{CO}_2$  ( $\mu\text{atm}$ ), (b) SST (degrees C), (c) surface DIC ( $\text{mmol/m}^3$ ), and (d) surface ALK ( $\text{mmol/m}^3$ ). All standard deviations were calculated from model output that was detrended and smoothed over 12 months to remove the seasonal cycle.



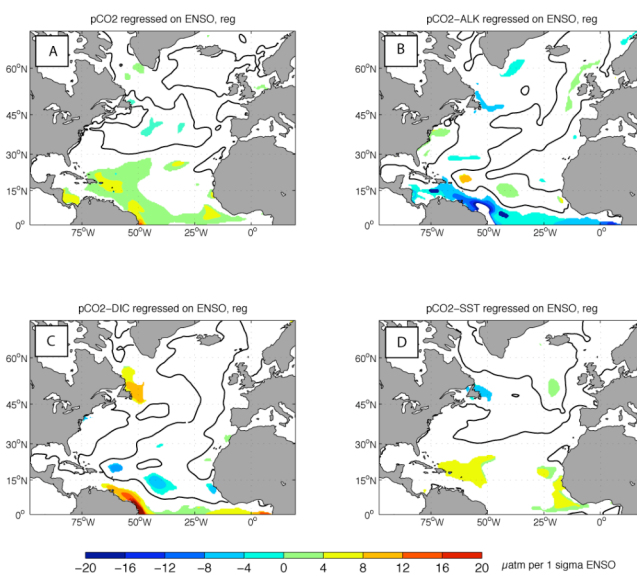
**Figure 11.** Correlation plots. (a)  $p\text{CO}_2$  and SST, (b)  $p\text{CO}_2$  and MLD, (c)  $p\text{CO}_2$  and 100m depth-weighted DIC, (d)  $\text{CO}_2$  Flux (positive to the atmosphere) and MLD. Correlation coefficients were calculated from fields that were detrended and smoothed over 12 months to remove the seasonal cycle. White areas indicate those regions where the correlation coefficient is not significantly different from zero at the 95% level.



**Figure 12.** (a) Correlation between MLD and vertical supply of DIC over the top 100m. (b) Correlation between vertical velocity averaged over the top 100m and the DIC vertical supply (top 100m). (c) Depth profiles of DIC concentrations over the top 300m, winter climatology averaged over all January, February, and March months. 4 profiles are for the following locations: BATS, 32N 64W (red); Ocean Weather Station I, 59N 18W (blue); Eastern Subtropical gyre, 25N 40W (green); Southern Subtropical gyre, 10N 30W (black). (d) Depth profiles of late summer climatology averaged over July, August, and September (profile colors pertain to the same locations as in plot c).

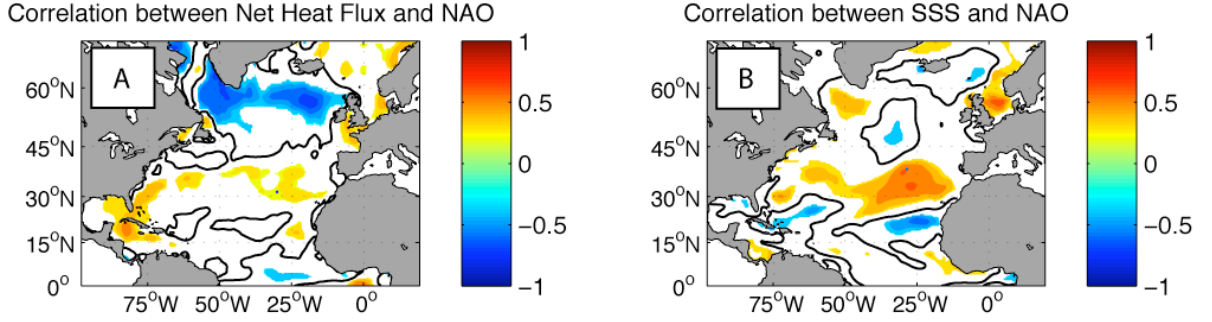


**Figure 13.** Regression of (a) total  $p\text{CO}_2$ , (b)  $p\text{CO}_2\text{-ALK}$ , (c)  $p\text{CO}_2\text{-DIC}$ , and (d)  $p\text{CO}_2\text{-SST}$  onto the negative NAO index (negative so that patterns are of the same sign as Figure 16). Units are  $\mu\text{atm}$  per 1 standard deviation of the NAO.  $p\text{CO}_2$  fields and components are detrended and smoothed. Colored areas indicate regions where the correlation coefficient is significantly different from zero at the 95% confidence level.

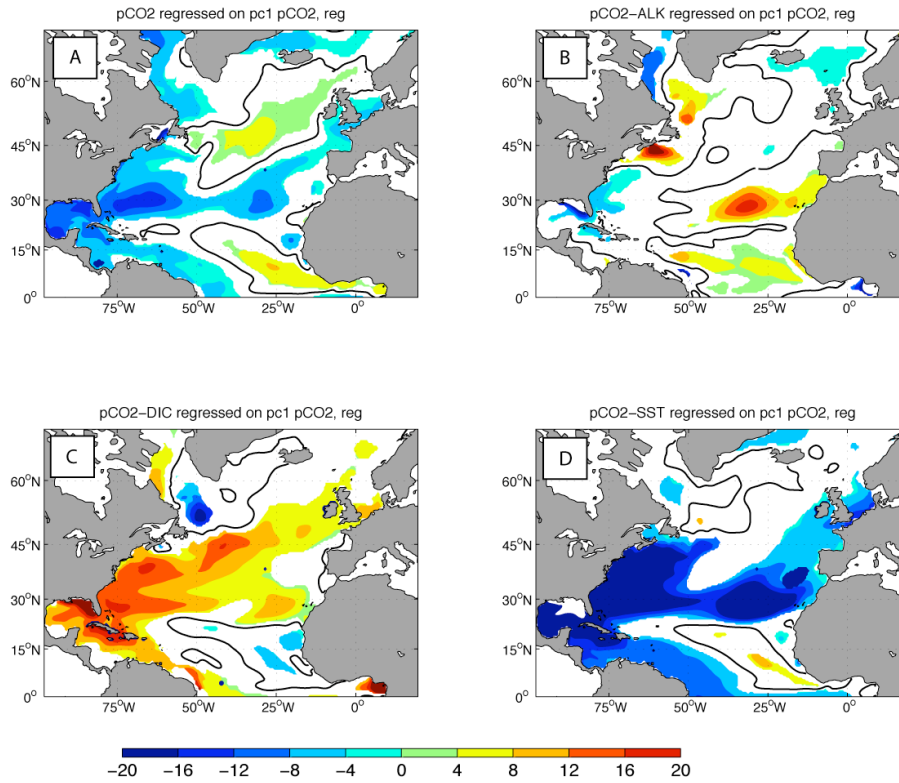


**Figure 14.** Regression of (a) total  $p\text{CO}_2$ , (b)  $p\text{CO}_2\text{-ALK}$ , (c)  $p\text{CO}_2\text{-DIC}$ , and (d)  $p\text{CO}_2\text{-SST}$  onto the ENSO. Units are  $\mu\text{atm}$  per 1 standard deviation of the ENSO. Colored areas indicate regions where the correlation coefficient is significantly different from zero at the 95% confidence level.

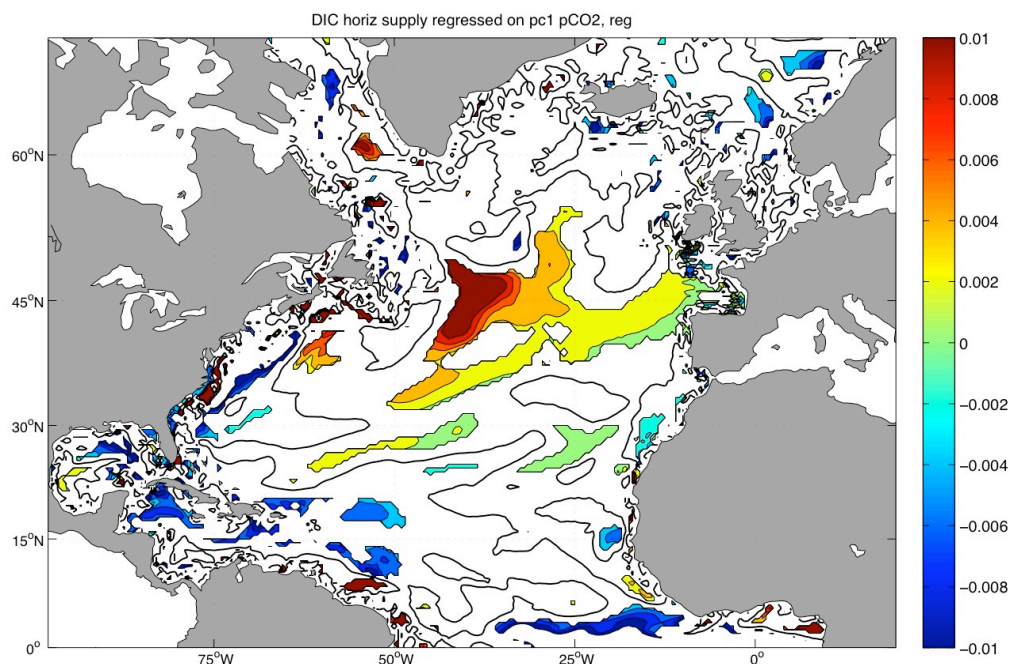




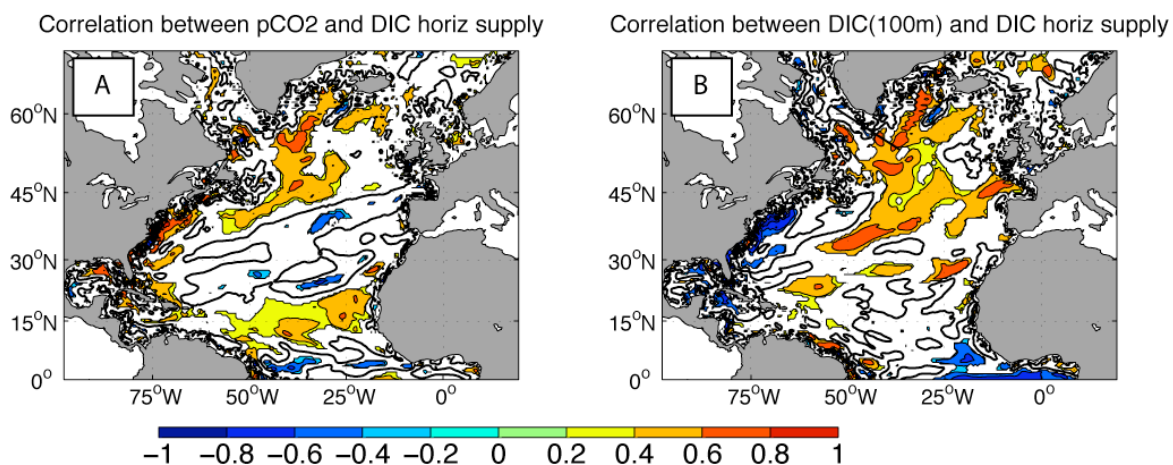
**Figure 15.** Correlation between the NAO index and (a) Model net heat flux forcing (b) Sea Surface Salinity (detrended and smoothed). Colored areas indicate regions where the correlation coefficient is significantly different from zero at the 95% confidence level.



**Figure 16.** Regression of (a) total pCO<sub>2</sub>, (b) pCO<sub>2</sub>-ALK, (c) pCO<sub>2</sub>-DIC, and (d) pCO<sub>2</sub>-SST onto the first principal component of pCO<sub>2</sub> (see Figure 9). Units are in μatm per 1 standard deviation of the PC1. pCO<sub>2</sub> fields and components are detrended and smoothed. Colored areas indicate regions where the correlation coefficient is significantly different from zero at the 95% confidence level. Note: plot (a) is, by definition, the same as the first Empirical Orthogonal Function (EOF1).



**Figure 17.** Regression of detrended and smoothed DIC horizontal supply (depth-weighted average over top 100m) onto the first principal component of the pCO<sub>2</sub>. Units are in mol/m<sup>3</sup> per 1 $\sigma$  of PC1. Colored areas indicate regions where the correlation coefficient is significantly different from zero at the 95% confidence level.



**Figure 18.** Correlation between (a) pCO<sub>2</sub> and DIC horizontal supply (b) DIC, depth-weighted average over top 100m, and DIC horizontal supply. All fields are detrended and smoothed. Colored areas indicate regions where the correlation coefficient is significantly different from zero at the 95% confidence level.